WMIndustry
Awards

# WealthManagement.com 2023 Industry Awards Finalists/Honorees

| AWARD CATEGORY | COMPANY | INITIATIVE OR INDIVIDUAL |
|---|---|---|
| **ADVISOR SUPPORT PLATFORMS** | | |
| **Office of Supervisory Jurisdiction (OSJs)** | Affiliated Advisors | Thought Leadership Program |
| | Pilot Financial | Succession Planning Enablement |
| **Registered Investment Advisors (RIAs)** | Bluespring Wealth Partners | Blueprint for Growth |
| | Carson Group | Marketing Monitor |
| | Carson Group | Women in Wealth Management |
| | Dynasty Financial Partners | Transition to Independence |
| | Hightower Advisors | Wealth Solutions |
| | Private Advisor Group | Advisor Alignment & Equity Program |
| | RFG Advisory | Transition Playbook |
| **ASSET MANAGERS** | | |
| **Alternative Investments** | AllianceBernstein – Bernstein Private Wealth Management | Risk Mitigation |
| | AXS Investments | The Innovation Trade |
| | CrowdStreet Advisors | CrowdStreet Advisors |
| | Eaglebrook Advisors | Franklin Templeton Crypto SMAs |
| | Franklin Templeton | Alternatives Education Program |
| | Hamilton Lane | Hamilton Lane Senior Credit Opportunities Fund |
| | KraneShares | Mount Lucas Managed Futures Index Strategy ETF |
| | StepStone Private Wealth | SPRING Venture Capital and Growth Equity |
| | Unlimited Funds | Index Replication ETF |
| **Charitable Giving/Donor Advised Funds** | Fiduciary Trust Company | Philanthropic Program |
| | Schwab Charitable | Partnerships with a Purpose |
| **Chief Executive Officer of the Year** | Allspring Global Investments | Joseph "Joe" Sullivan |
| | AXS Investments | Greg Bassuk |
| | First Ascent Asset Management | Scott MacKillop |
| | Karner Blue Capital | Vicki Benjamin |
| | Vert Asset Management | Samuel Adams |
| | Wilmington Trust | Doris Meister |
| **Chief Marketing Officer of the Year** | Cambiar Investors | John Le |
| | Nuveen Investments | Tara Guiliano |
| | Prosperity Capital Advisors | Matt Seitz |
| **Client Experience Initiative** | FS Investments | Thrive |
| | J.P. Morgan | Casey Virtual Analyst |
| | Wilmington Trust | Emerald GEMs Podcast |
| **Digital Marketing Campaign of the Year** | Cohen & Steers | Real Assets Campaign |
| | FS Investments | Break-up Letter to the 60/40 |
| | Hamilton Lane | Market Overview |
| | Natixis Investment Managers | Yield is Back in Bond Markets |
| **Direct Indexing** | Natixis Investment Managers | Direct Indexing Education |
| | Neuberger Berman | New Direct Indexing Strategies |
| | Parametric | Short-term Reversal Factor |
| **Diversity, Equity and Inclusion** | Bay Street Capital Holdings | Resthaven Properties |
| | Nationwide Financial - Retirement Institute | Diverse Market Program |
| **Environmental, Social and Governance (ESG) Investing** | Fiduciary Trust International | International Shareholder Engagement |
| | GoldenTree Asset Management | Tailored Approach to ESG |
| | Hamilton Lane | Sustainability Report |
| | Karner Blue Capital | Biodiversity Impact Strategy |
| | TOBAM | Think Out of the Box Asset Management |
| | Vert Asset Management | Build It Bootcamps |
| **ETFs** | American Century Investments | Tax Planning Tool |
| | AXS Investments | ETF Market Entrance |
| | F/m Investments | US Treasury ETFs |
| | Innovator ETFs | Premium Income Barrier ETFs |
| | KraneShares | Enhanced Income Generating ETFs |
| | NightShares | NightShares ETFs |
| | Unlimited Funds | Index Replication ETF |
| **Fixed Income** | Fidelity Investments | Bond Beacon |
| | PIMCO | Model Portfolios |
| | Rockefeller Asset Management | Differentiate Active Management |
| | VanEck | CLO ETF |
| **Goals-based Investment Platforms** | Franklin Templeton | Wealth Splitter |
| | Nebo by GMO | Nebo Pro |
| **New Product Development** | AXS Investments | ETF Market Entrance |
| | DLP Capital | Building Communities Fund |
| | Eaglebrook Advisors | Franklin Templeton Crypto SMAs |
| | flexPATH Strategies | CIT Portfolio Expansion |
| | Kestra Investment Management | Model Portfolio Series |
| | NightShares | NightShares ETFs |
| | Sagona Capital | Venture Capital Secured Program |
| | TrueShares ETFs | Eagle Global Renewable Energy Income ETF |
| | VanEck | ETF Product Enhancements |
| | WisdomTree | Portfolio and Growth Solutions |
| **Thought Leadership Advisor Education** | BlackRock | Emerging Leader Lab |
| | CION Investments | Advisor Lab Podcast |
| | FlexShares Exchange Traded Funds (Managed by Northern Trust) | New Rules in Growing Wallet Share Program |
| | Janus Henderson Investors | Lessons in Behavioral Finance |
| | Kestra Investment Management | Markets in a Minute Newsletter |
| | KraneShares | US China Diplomatic Series |
| | SpiderRock Advisors | Volatility as an Asset Class |
| | Voya Financial | Hire Thru Retire Podcast |
| **Thought Leadership Product Enhancement** | J.P. Morgan | Catalog Personalization |
| | NightShares | Night Effect Tools |
| | VanEck | Advisor Education Campaign |
| **BROKER-DEALERS** | | |
| **Chief Executive Officer of the Year** | Arkadios Capital | David Millican |
| | Concorde Investment Services | Drew Jackson |
| | Midwestern Securities Trading Company | Michael Graham |
| | Osaic | Jamie Price |
| **BROKER-DEALERS (1,000 Advisors or More)** | | |
| **Chief Technology Officer of the Year** | LPL Financial | Greg Gates |
| | Merrill Wealth Management | Casey Franz |
| | Raymond James | Vin Campagnoli |
| **Digital Marketing Campaign of the Year** | Cambridge Investment Research | Something Wonderful Campaign |
| | Voya Financial | Hire Thru Retire Podcast |
| **Diversity, Equity and Inclusion** | Cambridge Investment Research | Appreciating Diversity Series |
| | Cetera Financial Group | Connect2Impact Video Series |
| | Cetera Financial Group | Courageous Conversations |
| | Lincoln Financial Network | LincAble Program |
| | LPL Financial | Advisor Institute |
| | LPL Financial | Emerging Leaders Program (ELP) |
| | Osaic | Creative Entrepreneurs in Ferguson, MO |
| **Practice Management** | Cambridge Investment Research | RPM Program |
| | Cetera Financial Group | Growth360 |
| | Commonwealth Financial Network | Entrepreneurial Capital Program |
| | Kestra Financial | Peer Perspectives Program |
| | LPL Financial | Partial Book Sales |
| | Raymond James | STEER Program |
| **Service** | Atria Wealth Solutions | TeamAssist Program |
| | Avantax Wealth Management | Service-led Organization |
| | Commonwealth Financial Network | NextGen Business Development Program |
| | Kestra Financial | Paraplanning Services |
| | LPL Financial | Tax Planning, Research and Scenario Analysis |
| | Osaic | Operation Council and Experience Dashboard |
| | Raymond James | Private Wealth Advisor Program |
| **Technology** | J.P. Morgan Wealth Management | Wealth Plan |
| | Merrill Wealth Management | Collaborative Onboarding Experience (COBE) |
| | Morgan Stanley | Fractional Share Functionality |
| | Morgan Stanley | Directed Share Program |
| | Raymond James | Tech Savvy |
| **Thought Leadership** | Cambridge Investment Research | Let's Talk Podcast |
| | Cetera Financial Group | Fed Monitor Dashboard and Fed-O-Meter |
| | Osaic | W Forum |
| **Transition Support** | Cetera Financial Group | Accesslink |
| | Kestra Financial | Onboarding Reimagined |
| | Prudential Financial | Transition and Transformation Program |
| **BROKER–DEALERS (Fewer Than 1,000 Advisors)** | | |
| **Digital Marketing Campaign of the Year** | Bernstein Private Wealth Management | Seize the Moment Campaign |
| | Concorde Investment Services | Financial Representative Recruitment |
| | Prospera Financial Services | Success Your Way |
| **Diversity, Equity and Inclusion** | Janney Montgomery Scott | FACT Program |
| | Prospera Financial Services | BRIDGE Mentorship Program |
| | Sigma Financial Corporation | Nova Women's Collective |
| | Stifel Independent Advisors | Growth Program |
| **Practice Management** | Hornor, Townsend & Kent | Fee-based Financial Planning Program |
| | Regal Financial Group | Increase Your Quality of Life Program |
| | Sigma Financial Corporation | Endeavor Planning Community |
| **Service** | Prospera Financial Services | Virtual Service Associate Program |
| | Sigma Financial Corporation | EducationHub |
| **Technology** | Bernstein Private Wealth Management | Sanford the Chatbot |
| | Copper Financial | Guided Investing Solution for Credit Unions |
| | Janney Montgomery Scott | Secure Client Portal |
| | TradeStation | Trading Platforms |
| **Transition Support** | Janney Montgomery Scott | Transition Video Campaign |
| | Sigma Financial Corporation | LaunchPad Onboarding Experience |
| **COMPLIANCE/LAW FIRMS** | | |
| | Kupfer & Associates | DealQuest Podcast |
| | MarketCounsel Consulting | MARK by MarketCounsel |
| **CORPORATE SOCIAL RESPONSIBILITY** | | |
| | Allworth Financial | Kids Program |
| | Cambridge Investment Research | Little Achievers Center |
| | Cetera Financial Group | Caring Cetera |
| | Janney Montgomery Scott | DEI Event Support |
| | John Hancock Investment Management | Women, Wealth and Wisdom Campaign |
| | Thrivent Advisor Network | "Building Businesses for Good" Initiative |
| | TrueShares ETFs | Patriot ETF |
| **CUSTODIANS** | | |
| **Practice Management** | BNY Mellon \| Pershing | Next Leadership Forum |
| | Fidelity Investments | Client Insight Tool |
| **Technology** | Altruist | The Modern Custodian |
| | Apex Fintech Solutions | Onboarding Edge |
| | Equity Advisor Solutions | Alternative Assets Solution |
| **Thought Leadership** | Apex Fintech Solutions | FDIC Sweep Program and Education |
| | Fidelity Investments | Young Investor Toolkit |
| **DISRUPTORS** | | |
| **Industry** | Advisor Circle | Future Proof |
| | BridgeFT | WealthTech as a Service Platform |
| | Merrill Wealth Management | Collaborative Onboarding Experience (COBE) |
| **Technology** | Altruist | Vertically Integrated Custodian |
| | Amplify Reviews | Compliant Online Reviews |
| | BridgeFT | WealthTech API |
| | Daffy.org | Daffy for Families |
| | DFD Partners | AI-based Distribution Platform |
| | Guardian Mineral Management & Consulting | Specialty Asset Software System |
| | Morgan Stanley | Genome |
| | Orion Advisor Solutions | Data Accessibility, Delivery and Transparency |
| **FAMILY OFFICES** | | |
| **Client Initiative** | Bank of America Private Bank | Family Office of the Future |
| | Key Family Wealth | Family Governance Framework |
| | Wilmington Trust | Emerald Family Office and Advisory Services |
| **Thought Leadership** | Family Office Real Estate Institute | Family Office Real Estate Institute |
| | Key Private Bank | Enhancements for UHNW Clients |
| | Morgan Stanley Family Office Resources | Family Legacy and Governance Institute |
| | NewEdge Wealth | Women Leadership Showcase |
| | Rockefeller Global Family Office | Excellence in Wealth Management Leadership |
| | Wilmington Trust | Emerald GEMs Podcast |
| **INDIVIDUAL RIA FIRM LEADERS** | | |
| **Chief Executive Officer of the Year** | Allworth Financial | Scott Hanson |
| | Aspiriant | Rob Francais |
| | Bailard | Sonya Mughal |
| | Integrated Partners | Paul Saganey |
| | Merit Financial Advisors | Rick Kent |
| | NewEdge Wealth | Rob Sechan |
| | SignatureFD | Heather Robertson Fortner |
| | SteelPeak Wealth | Reza Zamani |
| | The Colony Group | Michael J. Nathanson |
| | Verdence Capital Advisors | Leo Kelly |
| | Wealthcare Capital Management | Matt Regan |
| **Chief Marketing Officer of the Year** | Allworth Financial | Brad Boekestein |
| | Aspiriant | Cammie Doder |
| | Choreo | Marissa Fox-Foley |
| | Mercer Advisors | Gary Foodim |
| | Robertson Stephens | Louis Calabrese III |

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|---|---|---|
| | The Colony Group | Stephanie Goldstein |
| **Chief Technology Officer of the Year** | Cresset | Paul Algreen |
| | Mercer Advisors | Christine Cataldo |
| | Merit Financial Advisors | JP Pattinson |
| | Robertson Stephens | Vikram Chugh |
| **Innovator of the Year** | Carson Group | Mary Kate Gulick, Senior Vice President, Advisor Marketing |
| | Mercer Advisors | Jeremiah Barlow, Head of Family Wealth Services |
| | NewEdge Advisors | Alex Goss, Co-Founder and Co-CEO |
| **M&A Leader of the Year** | Allworth Financial | Pat McClain, Co-Founder and Senior Partner |
| | Carson Group | Jamie Hopkins, Managing Partner of Wealth Solutions |
| | MAI Capital Management | Jim Kacic, President, M&A and Shared Services |
| | Mercer Advisors | Dave Barton, Vice-Chairman and Head of M&A |
| | Merit Financial Advisors | Kay Lynn Mayhue, President |
| | Prime Capital Investment Advisors | Glenn Spencer, Chief Executive Officer |
| | The Colony Group | Zinovy Iosovich, President and Chief Services Officer |
| **Rising Star of the Year Honorees** | 49 Financial | Kristian Mtetwa, Vice President |
| | CAPTRUST | Marcus Magyar, Vice President and Financial Advisor |
| | Carson Group | Samantha Allen, Vice President of Digital Marketing |
| | Crescent Grove Advisors | Andrew Krei, Co-Chief Investment Officer |
| | Ellevate Advisors | Brooklyn Brock, Founder |
| | Hoskin Capital | Nate Hoskin, Founder |
| | Integrated Partners | Andree Mohr, Chief Implementation Officer |
| | Mercer Advisors | Jennifer Baick, Senior Director, Financial Planning Group |
| | NewEdge Advisors | Alex Goss, Co-Founder and Co-CEO |
| | Signature Estate & Investment Advisors | Hayley Wood Bates, Financial Advisor |
| | Snowden Lane Partners | Alison Burkett, Senior Partner, Managing Director, and Head of Enterprise Development |
| | Thrive Financial Services | Erik Schuster, Financial Advisor |
| | Ullmann Wealth Partners | Caitlin Frederick, Director of Financial Planning |
| **Thought Leader of the Year** | Blue Chip Partners | Dan Seder, Managing Partner |
| | Carson Group | Erin Wood, Senior Vice President of Financial Planning |
| | Hoskin Capital | Nate Hoskin, Founder and Lead Advisor |
| | Intrepid Wealth Partners | Derek N.H. Notman, Founder and CEO |
| | Joule Financial, A Bluespring Wealth Partner | Quint Tatro, Managing Director and Chief Investment Officer |
| | Mercer Advisors | Martine Lellis, Chief Talent and Administrative Officer |
| | Transitus Wealth Partners | Ross Marino, CEO |
| **INDUSTRY RESEARCH PROVIDERS** | | |
| | The American College of Financial Services | RIA Growth and Specialized Knowledge Survey |
| | The American College of Financial Services | Trust in Financial Services Study |
| | VettaFi | Research Innovation |
| **INSURANCE** | | |
| | Global Atlantic Financial Group | Your Thriving Practice |
| | Jackson National Life Insurance | Advanced Planning Team |
| | Modern Life | Insurance Experience Transformation |
| | Nassau Financial Group | Living Longer and Living Better |
| **MARKETING \| PR FIRMS** | | |
| **Digital Campaign of the Year** | FiComm Partners | End-to-End Podcasting Solution |
| | Intention.ly | RISA 2-day Masterclass |
| | Three Crowns Copywriting & Marketing | The Holistiplan 10K Challenge |
| **PR Campaign of the Year** | FiComm Partners | SEC Marketing Rule Update |
| | Haven Tower Group | Quad A |
| | Keramas | Defined Outcome ETFs |
| | Lyceus Group | F/m Investments Suite of ETFs |
| **RETIREMENT INCOME** | | |
| | Allianz Life | Advisory Solutions |
| | Retirement Income Solutions Assessment (RISA) | Retirement Income Planning |
| | TruStage | Secure Future Program |
| **RETIREMENT PLAN SUPPORT AND ADVISOR SERVICES** | | |
| **401(k) Service** | Candidly | Student Loan Retirement Match Program |
| | intellicents | Worksite Financial Planning Program |
| | LeafHouse | InvestGrade |
| | Morningstar Investment Management | Personal Target-date Funds |
| | Pontera | Professional 401(k) Management |
| | Wise Rhino Group | Enterprise Value Education |
| **401(k)Technology** | BidMoni | Retirement Plan Marketplace |
| | Candidly | Student Loan Retirement Match Program |
| | Fidelity Investments | Goal Booster and Emergency Savings |
| | LeafHouse | reallocateIT API-based Software |
| | Pontera | Professional 401(k) Management |
| | RPAG | Rollover Analyzer |
| **Aggregators > CEO of the Year** | Marsh McLennan Agency | Craig Reid |
| | RPAG | Vince Giovinazzo |
| | SageView Advisory Group | Randy Long |
| | Strategic Retirement Partners | Jeff Cullen |
| **Broker-Dealers - Wealth and Retirement Integration** | Cambridge Investment Research | RPA Program |
| | Morgan Stanley | Corporate Retirement Portal |
| | Osaic | Generation (k)ultivate |
| | UBS | Central Sales and Service Model |
| **DCIO Providers > Advisor Value Added Programs** | Franklin Templeton | Voice of the American Worker Program |
| | Putnam Investments | DCIO Visualizer Suite |
| | Stadion Money Management | Managed Accounts Insights Series |
| **In-plan Retirement Income - Product or Service** | Allianz Life | Lifetime Income+ |
| | Annexus Retirement Solutions | Lifetime Income Builder and the Annexus Retirement Data Exchange |
| | Edelman Financial Engines | Income Beyond Retirement |
| | Income America | Protected Retirement Service |
| | PensionPlus | Personalized Retirement Income Service |
| **Record Keepers > Retirement Plan Advisor Support** | John Hancock | Prospect Finder |
| | John Hancock | Helping with SECURE Act 2.0 |
| | Nationwide | Capital Cost Comparison Calculator |
| **SUCCESSION/OWNERSHIP TRANSITION SERVICES – NON-CUSTODIAN/BROKER-DEALER** | | |
| | DeVoe & Company | Valuation Services |
| | Hightower Advisors | Center for Leadership |
| | Succession Resource Group | Advisor M&A and Equity Update |
| | The AmeriFlex Group | SuccessionFlex |
| **TAMPs** | | |
| **Model Marketplaces** | Amplify Technology | Tax Loss Harvesting |
| | FusionIQ | Digital Model Marketplace |
| | SMArtX Advisory Solutions | Model Comparison Tool in Model Marketplace |
| | The Pacific Financial Group | Strategy PLUS SDBA Model Portfolio Program |
| **TAMPs** | AssetMark | Digital Solutions for Middle-back Office |
| | FusionIQ | finTAMP |
| | GeoWealth | Modern TAMP |
| **TECHNOLOGY PROVIDERS** | | |
| **Account Aggregation** | Blueleaf | Aggregation as a Service |
| | Method Financial | API for Personal Debt Consolidation |
| | Wealth Access | Data Aggregation and Unification Platform |
| **Alternative Investment Platforms** | AltExchange | Advisor Vue |
| | Broadridge | Alternative Investments Hub |
| | CAIS | Custom Funds |
| | Canoe Intelligence | Canoe Connect & Canoe Intelligence |
| | iCapital | New Products and Capabilities |
| | Opto Investments | Private Investment Recommendation Wizard |
| | `+SUBSCRIBE | Unified Alternative Investments Platform |
| | TIFIN Private Markets | Democratizing Access to Alts |
| **Artificial Intelligence** | Alphathena | AI Workflow and Personalization |
| | Atlas Point | AI-Powered Business Insights |
| | CogniCor Technologies “CogniCor” | AI Enabled Meeting Assistant |
| | DFD Partners | AI-based Distribution Platform |
| | HedgeSPA | Graph Neural Network and Quantum AI Application |
| | Pulse360 | AI Writer |
| **Business Support Systems \| Workflow Automation** | CogniCor Technologies “CogniCor” | AI Client Maintenance Assistant |
| | eMoney Advisor | Solvers in Decision Center |
| | Panoramix | Annual Amendment Automation |
| | Pulse360 | 1-click Automation |
| **Cash Optimization** | Flourish | RIA Program |
| | MaxMyInterest | Embedded Cash Management Platform |
| **Chief Executive Officer of the Year** | Apex Fintech Solutions | Bill Capuzzi |
| | Asset-Map | H. Adam Holt |
| | CAIS | Matt Brown |
| | iCapital | Lawrence Calcano |
| | Mirador | Joe Larizza |
| | Nitrogen | Aaron Klein |
| | Smarsh | Kim Crawford Goodman |
| | Snappy Kraken | Robert Sofia |
| | Vestwell | Aaron Schumm |
| | Wealth Access | David Benskin |
| **Chief Marketing Officer of the Year** | CAIS | Abby Salameh |
| | Docupace | Ryan George |
| | Nitrogen | Craig Clark |
| | Snappy Kraken | Angel Gonzalez |
| **Client Onboarding / New Account Opening** | Apex Fintech Solutions | Onboarding Edge |
| | Orion Advisor Solutions | Automated Account Solution |
| **Client Portals** | AssetBook | Valian |
| | Mirador | Gateway, by Mirador |
| | SS&C Black Diamond Wealth Platform | Client Experience |
| | Wealth Access | Client Portal |
| **Compliance** | Hearsay Systems | Testimonial Management System |
| | Orion Advisor Solutions | Share Class Monitoring |
| | Presults | Email Monitoring and Archiving |
| | SIGNiX | FINRA Compliance Lock |
| | Smarsh | Professional Archive |
| | Smartria | Compliance Management Platform |
| **CRM** | Orion Advisor Solutions | Redtail Campaigns |
| | WealthHub Solutions | Trust and UHNW-specific CRM |
| **Direct Indexing** | Charles River Development | Tailored Portfolio Solutions |
| | Interactive Brokers | Custom Indexing for RIAs |
| | Orion Advisor Solutions | Bespoke Custom Indexing Technology |
| | Rowboat Advisors | Tax Simulator for Direct Indexing |
| | Vestmark | VAST |
| **Diversity, Equity and Inclusion** | Apex Fintech Solutions | Culture and DEI Workshop |
| | Broadridge | AIF® Designation Diversity |
| | Choir | Voices |
| | DFD Partners | AI-based Distribution Platform |
| | SEI | Inclusion in Wealth Management |
| **Document Management** | Canoe Intelligence | Canoe Connect & Canoe Intelligence |
| | FutureVault | Digital Vault |
| | Laserfiche | Intelligent Data Capture |
| | Wealth Access | Digital Document Vault |
| **Innovation New Applications** | CogniCor Technologies “CogniCor” | AI Enabled Meeting Assistant |
| | FP Alpha | Estate Planning Snapshot |
| | Method Financial | API for Personal Debt Consolidation |
| | Nitrogen | "Check-Ins" Coaching Tool |
| | Orion Advisor Solutions | Orion BeFi |
| | Summit Wealth Systems | Wealth Journey |
| | VRGL | CAPM & R Tool |
| **Innovation Platforms** | BridgeFT | WealthTech API |
| | CapIntel | Seamless ESG Metrics |
| | Elements Technology | Financial Assessment Software Platform |
| | Envestnet | Wealth Data Platform |
| | FactSet | Intelligent Prospecting |
| | Halo Investing | Protective Investment Solutions |
| | Invent | PaaS Digital Ecosystem |
| | Practifi | Propel |
| | Vanilla | Estate Advisory Platform |
| | Zoe Financial | Wealth Platform |
| **Marketing Automation** | Catchlight | Lead Engagement Platform |
| | FMG Suite | Lead Generation and Business Growth Program |
| | Orion Advisor Solutions | Redtail Campaigns, BeFi20 and Proposal Generation |
| | Snappy Kraken | Freedom360 Campaign |
| **Model Marketplaces** | Adhesion Wealth Advisor Solutions | AMX |
| | Charles River Development | Wealth Hub |
| | Kwanti | Model Marketplace |
| **Portfolio Analytics** | FactSet | Advisor Dashboard |
| | HedgeSPA | Graph Neural Network and Quantum AI Application |
| | InvestSuite | Portfolio ESG Insights |
| | Zephyr | Portfolio Insight(ers) Powerful Perspective |
| **Portfolio Management, Accounting and Performance Reporting** | d1g1t Inc. | Risk-based Wealth Management |
| | LeafHouse | reallocateIT API-based Software |
| | Pontera | Professional 401(k) Management |
| **Rebalancing** | Advyzon | Quantum Rebalancer |
| | intelliflo | redblack |
| | MyVest | Expanding Tax Optimization |
| | SMArtX Advisory Solutions | Automated Trade Builder & Rebalancer |
| | Vestmark | Rebalancing Engine |
| **Retirement Income** | Broadridge | Retirement Income Consortium |
| | Future Capital | Advisor Solutions for Held Away Assets |
| | IncomeConductor | RMD, Roth Conversion and Tax Optimization |
| | Panoramix | Days of Future Past |
| | PensionPlus | Personalized Retirement Income Service |
| **Risk Tolerance / Client Profiling** | Andes Wealth Technologies | Holistic and Defensible Risk Tolerance/Client Profiling |
| | Helios | Portfolio Tools |
| **Securities-based Lending** | Goldman Sachs Private Bank Select | GS Select |
| | Supernova Technology | Democratizing Access to SBL |
| | UPTIQ | Securities Based Lending |
| **Social Media Leadership** | FMG Suite | “One-Click” AI-powered Content Personalization |
| **Specialized Planning Applications** | Asset-Map | Signals+ |
| | Bento Engine | Serving the Next Gen |
| | Special Needs Map | Special Needs Planning Software |
| | Vanilla | Estate Advisory Platform |
| | Wealth.com | Digital Estate Planning Platform |
| **Thought Leadership** | Advisor360° | Connected Wealth Report |
| | AdvisorEngine | Action! Magazine |
| | Asset-Map | AdviceTech.LIVE |
| | eMoney Advisor | Candid Conversations Campaign |
| | Finance of America Reverse | Morningstar Collaboration |
| | Invent | Big Reveal Podcast Series |
| | Nitrogen | Fearless Investing Summit |
| | Snappy Kraken | Jolt! Conference |
| **Unified (All-In-One) Systems** | Advyzon | Technology Platform |
| | Envestnet | Enterprise Platform |
| | MyVest | Optimizing the Enterprise |
| | Orion Advisor Solutions | Orion Stacks |
| **TRUSTS** | | |
| | Bank of America Private Bank | Trust Business Support Model |
| | Fiduciary Trust of New England | New Hampshire Trusts |
| | Trustate | Estate and Trust Administration Software |

August 10, 2023