

LoanRange	BusinessName	Address	City	State	Zip	NAICSCode	BusinessType	RaceEthnicity	Gender	Veteran	NonProfit	JobsRetained	DateApproved	Lender	CD
c \$1-2 million	NBC SECURITIES, INC.	1927 1ST AVE NOR	BIRMINGHAM	AL	35203	523120	Corporation	Unanswered	Unanswered	Unanswered		75	4/8/2020	South State Bank, PA	AL - 07
e \$150,000-350,000	MCDONALD FINANCIAL SERVICES, LLC	350 LEAVELL CIR	MONTGOMERY	AL	36117	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		13	4/13/2020	ServisFirst Bank	AL - 02
e \$150,000-350,000	ABLE FINANCIAL GROUP LLC	6991 E CAMELBACH	SCOTTSDALE	AZ	85251	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		6	4/28/2020	First-Citizens Bank	AZ - 06
e \$150,000-350,000	BCJ CAPITAL MANAGEMENT	8355 East Hartford	SCOTTSDALE	AZ	85255	523120	Sole Proprietorship	Unanswered	Male Owned	Non-Veteran		16	4/14/2020	ReadyCap Lending, AZ	06
e \$150,000-350,000	CLICK IPO SECURITIES, LLC	8601 N SCOTTSDAL	PARADISE VALLEY	AZ	85253	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		7	4/9/2020	Sterling Bank	AZ - 06
e \$150,000-350,000	ENVOY DEVELOPMENT, LLC	8036 S 38TH WAY	PHOENIX	AZ	85042	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		14	5/3/2020	Bank of America, N A	AZ - 07
e \$150,000-350,000	SCOTTSDALE CAPITAL ADVISORS CORP.	7170 E MCDONALD	PARADISE VALLEY	AZ	85253	523120	Corporation	White	Male Owned	Non-Veteran		10	5/8/2020	Carver State Bank	AZ - 06
b \$2-5 million	LODESTONE PACIFIC HOLDING COMPANY, INC.	4945 Boyd Road	ARCATA	CA	95521	523120	Corporation	Unanswered	Male Owned	Non-Veteran		294	4/27/2020	Umpqua Bank	CA - 02
c \$1-2 million	280 CAPMARKETS LLC	575 Market St Ste 3	SAN FRANCISCO	CA	94105	523120	Partnership	Unanswered	Unanswered	Non-Veteran		51	4/11/2020	First Republic Bank	CA - 12
c \$1-2 million	CANTERBURY CONSULTING INCORPORATED	610 NEWPORT CEN	NEWPORT BEACH	CA	92660	523120	Corporation	Unanswered	Unanswered	Unanswered		60	4/28/2020	Pacific Western Bar	CA - 45
c \$1-2 million	GREAT PACIFIC SECURITIES	151 KALMUS DR	H COSTA MESA	CA	92626	523120	Corporation	Unanswered	Unanswered	Unanswered		16	5/3/2020	MUFG Union Bank, CA	46
c \$1-2 million	INDEPENDENT FINANCIAL GROUP, INC.	12671 High Bluff Dr	SAN DIEGO	CA	92130	523120	Corporation	Unanswered	Unanswered	Non-Veteran		82	4/10/2020	Axos Bank	CA - 49
d \$350,000-1 million	ALGERT GLOBAL LLC	101 California St Ste 5	SAN FRANCISCO	CA	94111	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		20	5/3/2020	Bank of America, N CA	12
d \$350,000-1 million	AMERICAN CAPITAL INVESTMENTS INC.	14547 Titus St Ste 2	PANORAMA CITY	CA	91402	523120	Corporation	Unanswered	Unanswered	Unanswered		32	4/15/2020	Umpqua Bank	CA - 29
d \$350,000-1 million	BROKERAGE DESIGN & DEVELOPMENT, INC.	2300 E. KATELLA A	ANAHEIM	CA	92806	523120	Corporation	Unanswered	Unanswered	Unanswered		39	4/5/2020	American Business	CA - 39
d \$350,000-1 million	DREVER CAPITAL MANAGEMENT	2900 Paradise Dr	TIBURON	CA	94920	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		0	5/3/2020	Regions Bank	CA - 02
d \$350,000-1 million	INDEPENDENT CAPITAL MANAGEMENT INC	240 CALLE CAMPESAN	CLEMENTE	CA	92672	523120	Corporation	Unanswered	Unanswered	Unanswered		0	5/4/2020	Wells Fargo Bank, CA	49
d \$350,000-1 million	INVESTMENT PLACEMENT GROUP	350 10th Avenue St	SAN DIEGO	CA	92101	523120	Corporation	Unanswered	Male Owned	Unanswered		29	4/10/2020	CalPrivate Bank	CA - 51
d \$350,000-1 million	JAMES AND BENTZ, LLC	30423 Canwood St,	AGOURA HILLS	CA	91301	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered			4/30/2020	Union National Bar	CA - 26
d \$350,000-1 million	PARKSIDE TECHNOLOGIES, INC.	565 Commercial St	SAN FRANCISCO	CA	94111	523120	Corporation	Unanswered	Unanswered	Unanswered		26	4/14/2020	BMO Harris Bank N CA	12
d \$350,000-1 million	SC DISTRIBUTORS, LLC	695 Town Center D	COSTA MESA	CA	92626	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		2	4/13/2020	Texas Capital Bank, CA	46
d \$350,000-1 million	SELECT CAPITAL CORPORATION	31351 RANCHO VIESAN	JUAN CAPISTRANO	CA	92675	523120	Corporation	Unanswered	Unanswered	Unanswered		0	5/3/2020	Wells Fargo Bank, CA	48
d \$350,000-1 million	SHARESPOT FINANCIAL CORPORATION	555 Montgomery S	SAN FRANCISCO	CA	94111	523120	Limited Liability Co	Unanswered	Unanswered	Non-Veteran		0	4/28/2020	First Republic Bank	CA - 12
d \$350,000-1 million	TD GROUP 401(K) PLAN	7050 North Fresno	FRESNO	CA	93720	523120	Trust	Unanswered	Male Owned	Unanswered		0	4/7/2020	Live Oak Banking C	CA - 16
d \$350,000-1 million	TORA TRADING SERVICES, LLC	1440 Chapin Ave St	BURLINGAME	CA	94010	523120	Limited Liability Co	Unanswered	Unanswered	Non-Veteran		22	4/12/2020	First Republic Bank	CA - 14
d \$350,000-1 million	VELOX CLEARING LLC	2400 E Katella Ave.	ANAHEIM	CA	92806	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		21	4/11/2020	BMO Harris Bank N CA	39
d \$350,000-1 million	WESTPARK CAPITAL, INC.	1900 AVENUE OF T	LOS ANGELES	CA	90067	523120	Corporation	Unanswered	Unanswered	Unanswered		20	4/15/2020	Axos Bank	CA - 37
e \$150,000-350,000	B GRAHAM & COMPANY	1700 W KATELLA A	ORANGE	CA	92867	523120	Corporation	Unanswered	Male Owned	Veteran			5/3/2020	Wells Fargo Bank, CA	39
e \$150,000-350,000	BACKSTROM MCCARLEY BERRY & CO., LLC	115 Sansome Stree	SAN FRANCISCO	CA	94104	523120	Limited Liability Co	Unanswered	Unanswered	Non-Veteran		10	4/13/2020	First Republic Bank	CA - 12
e \$150,000-350,000	CONSOLIDATED BROKERAGE SERVICES, INC.	725 TOWN AND CO	ORANGE	CA	92868	523120	Corporation	Unanswered	Unanswered	Unanswered		31	5/3/2020	MUFG Union Bank, CA	46
e \$150,000-350,000	DIVERSIFIED INVESTMENT SERVICES, INC	180 N. RIVERVIEW	ANAHEIM HILLS	CA	92808	523120	Corporation	Unanswered	Unanswered	Unanswered		17	5/3/2020	MUFG Union Bank, CA	39
e \$150,000-350,000	EDGELINE CAPITAL LLC	11726 San Vicente	LOS ANGELES	CA	90049	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		7	4/9/2020	MidFirst Bank	CA - 30
e \$150,000-350,000	GLENDALE SECURITIES INC	15233 VENTURA B	SHERMAN OAKS	CA	91403	523120	Corporation	Unanswered	Unanswered	Unanswered			5/3/2020	Wells Fargo Bank, CA	30
e \$150,000-350,000	MONTGOMERY CAPITAL MANAGEMENT, INC.	100 SHORELINE HW	MILL VALLEY	CA	94941	523120	Corporation	Unanswered	Unanswered	Unanswered		12	5/1/2020	JPMorgan Chase Ba	CA - 02
e \$150,000-350,000	PRECISION SECURITIES LLC	16885 VIA DEL CAN	SAN DIEGO	CA	92127	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		18	4/9/2020	Lakeside Bank	CA - 49
e \$150,000-350,000	S F SENTRY SECURITIES, INC.	100 PINE ST Suite 2	SAN FRANCISCO	CA	94111	523120	Corporation	White	Male Owned	Veteran		13	4/8/2020	City National Bank	CA - 12
e \$150,000-350,000	SFE INVESTMENT COUNSEL, INC.	801 S. FIGUEROA S	LOS ANGELES	CA	90017	523120	Corporation	White	Male Owned	Non-Veteran		13	4/11/2020	American Business CA	34
e \$150,000-350,000	STUDENT OPTIONS LLC	120 Kearny St	SAN FRANCISCO	CA	94108	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		6	5/1/2020	JPMorgan Chase Ba	CA - 12
e \$150,000-350,000	ITRS TILE, INC.	1100 Town and Co	ORANGE	CA	92868	523120	Corporation	Unanswered	Unanswered	Unanswered			4/30/2020	Celtic Bank Corpora	CA - 46
e \$150,000-350,000	WESPAC ADVISORS SOCAL LLC	201 LAKE AVE 509	PASADENA	CA	91101	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered			4/8/2020	Banc of California, CA	27
e \$150,000-350,000	ZAR BROKERAGE INC	2616 E 2ND ST	LOS ANGELES	CA	90033	523120	Corporation	Unanswered	Unanswered	Unanswered		40	5/7/2020	Bank of America, N CA	32
d \$350,000-1 million	FORTA FINANCIAL GROUP, INC., DBA, PRESIDENT	5445 DTC Parkway,	GREENWOOD VILLAGE	CO	80111	523120	Sole Proprietorship	Unanswered	Male Owned	Non-Veteran		31	4/14/2020	ReadyCap Lending, CO	01
d \$350,000-1 million	GENEOS WEALTH MANAGEMENT, INC.	9055 E Mineral Circ	CENTENNIAL	CO	80112	523120	Corporation	Unanswered	Unanswered	Unanswered		0	4/11/2020	Live Oak Banking C	CO - 04
d \$350,000-1 million	MCDONALD PELZ GLOBAL COMMODITIES LLC	1803 FOOTHILLS H	BOULDER	CO	80303	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered			4/14/2020	Enterprise Bank & T	CO - 02
d \$350,000-1 million	WESTERN WEALTH ADVISORS, LLC	440 Indiana St	GOLDEN	CO	80401	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		32	4/7/2020	FirstBank	CO - 02
e \$150,000-350,000	INTB FINANCIAL CORPORATION	9540 S MAroon Cir,	CENTENNIAL	CO	80112	523120	Corporation	Unanswered	Unanswered	Unanswered		18	4/28/2020	U.S. Bank, National CO	04
e \$150,000-350,000	TYTON HOLDINGS, INC.	8361 N. RAMPART	LITTLETON	CO	80125	523120	Corporation	White	Male Owned	Non-Veteran		13	4/11/2020	BBVA USA	CO - 01
c \$1-2 million	A.G.P. / ALLIANCE GLOBAL PARTNERS CORP.	88 Post Rd W	WESTPORT	CT	6880	523120	Corporation	Unanswered	Unanswered	Unanswered		110	4/11/2020	First Republic Bank	CT - 04
c \$1-2 million	MKM HOLDINGS LLC	300 FIRST STAMFO	STAMFORD	CT	6902	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		75	4/7/2020	People's United Bai	CT - 04
d \$350,000-1 million	ATLANTIC-PACIFIC CAPITAL, INC.	1 Dock St Ste 404	STAMFORD	CT	6902	523120	Subchapter S Corp	Unanswered	Unanswered	Non-Veteran		26	4/13/2020	First Republic Bank	CT - 04
d \$350,000-1 million	CAMBRIDGE INTERNATIONAL SECURITIES, LLC	90 Post Road East 2	WESTPORT	CT	6880	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		14	5/3/2020	Citibank, N.A.	CT - 04
d \$350,000-1 million	NORTHCOAST ASSET MANAGEMENT LLC	1 GREENWICH OFF	GREENWICH	CT	6831	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		0	4/29/2020	Signature Bank	CT - 04
e \$150,000-350,000	BUELL SECURITIES CORP	200 GLASTONBURY	GLASTONBURY	CT	6033	523120	Corporation	Unanswered	Unanswered	Unanswered		9	4/7/2020	People's United Bai	CT - 01
e \$150,000-350,000	COBURN & MEREDITH INC.	1 Old Mill Lane	SIMSBURY	CT	6070	523120	Corporation	White	Male Owned	Non-Veteran		17	4/28/2020	Liberty Bank	CT - 05
e \$150,000-350,000	HARTFORD FINANCIAL MANAGEMENT INC	1 CONSTITUTION P	HARTFORD	CT	6103	523120	Corporation	Unanswered	Unanswered	Unanswered		10	5/3/2020	Bank of America, N CT	01
e \$150,000-350,000	PINNACLE TAXX INC	1445 E PUTNAM A	OLD GREENWICH	CT	6870	523120	Corporation	Unanswered	Unanswered	Unanswered		16	4/12/2020	JPMorgan Chase Ba	CT - 04
e \$150,000-350,000	QUATTRO M SECURITIES, INC	735 Ridgefield Rd	WILTON	CT	6897	523120	Cooperative	Unanswered	Unanswered	Unanswered		18	5/3/2020	Citibank, N.A.	CT - 04

d \$350,000-1 millio	NORTH AMERICAN SECURITIES ADMINISTRATOR:	750 First St NE STE	WASHINGTON	DC	20002	523120	Non-Profit Organiz:	Unanswered	Unanswered	Unanswered	Y	21	4/30/2020	Renasant Bank	DC - 00
d \$350,000-1 millio	BG CIRCLE OF LIFE LLC	1905 N Market St	WILMINGTON	DE	19802	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		0	4/11/2020	TD Bank, National	DE - 00
a \$5-10 million	NATIONAL SECURITIES CORPORATION	5000 T-Rex Avenue	BOCA RATON	FL	33431	523120	Corporation	Unanswered	Unanswered	Unanswered		346	4/9/2020	Axos Bank	FL - 22
c \$1-2 million	INSIGNEO SECURITIES, LLC	777 BRICKELL AVE,	MIAMI	FL	33131	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		67	4/15/2020	Citibank, N.A.	FL - 24
c \$1-2 million	TRADE-PMR, INC.	2511 NW 41st Stre	GAINESVILLE	FL	32606	523120	Corporation	Unanswered	Male Owned	Unanswered		0	4/29/2020	Regions Bank	FL - 03
d \$350,000-1 millio	AK CAPITAL LLC	9742 SW 184 Stree	CUTLER BAY	FL	33157	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		10	5/1/2020	JPMorgan Chase B	FL - 26
d \$350,000-1 millio	AMUNI FINANCIAL. INC	720 second avenue	SAINT PETERSBURG	FL	33701	523120	Corporation	Unanswered	Male Owned	Unanswered		25	4/11/2020	Freedom Bank	FL - 13
d \$350,000-1 millio	DAWSON JAMES SECURITIES INC	1 North Federal Hig	BOCA RATON	FL	33432	523120	Corporation	Unanswered	Unanswered	Unanswered		41	4/29/2020	Bank of America, N	FL - 22
d \$350,000-1 millio	GUZMAN & COMPANY	101 Aragon Ave	CORAL GABLES	FL	33134	523120	Corporation	Unanswered	Male Owned	Non-Veteran		24	4/28/2020	BankUnited, Natio	FL - 27
d \$350,000-1 millio	INTERCOASTAL CAPITAL MARKETS INC.	200 e. palmetto pai	BOCA RATON	FL	33432	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		24	4/7/2020	City National Bank	FL - 22
d \$350,000-1 millio	NOBLE CAPITAL MARKETS, INC.	225 NE Mizner Blvc	BOCA RATON	FL	33432	523120	Corporation	Unanswered	Unanswered	Unanswered		31	4/11/2020	South State Bank,	FL - 22
d \$350,000-1 millio	PECUNIA MANAGEMENT LLC	390 N ORANGE AV	ORLANDO	FL	32801	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		203	4/10/2020	IBERIABANK	FL - 07
d \$350,000-1 millio	VIEWTRADE SECURITIES, INC.	7280 W PALMETTO	BOCA RATON	FL	33433	523120	Corporation	Unanswered	Unanswered	Unanswered		5	4/16/2020	Axos Bank	FL - 21
e \$150,000-350,00	ALERT PATROL INC	5100 W COPANS RI	MARGATE	FL	33063	523120	Corporation	Unanswered	Unanswered	Unanswered		108	4/28/2020	Bank of America, N	FL - 22
e \$150,000-350,00	HARBOR VIEW ADVISORS, LLC	822 A1A North Suit	PONTE VEDRA BEA	FL	32082	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		13	4/7/2020	BBVA USA	FL - 04
e \$150,000-350,00	INDEPENDENT FINANCIAL PARTNERS INC	206 SW 10TH ST	OCALA	FL	34471	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		9	4/7/2020	First Federal Bank	FL - 03
e \$150,000-350,00	MARIVA CAPITAL MARKETS, LLC	150 SE 2nd Ave,	PHIAMIAMI	FL	33131	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		8	4/27/2020	International Finan	FL - 24
e \$150,000-350,00	ROGAN & ASSOCIATES, INC	200 9th Ave N Suite	SAFETY HARBOR	FL	34695	523120	Corporation	Unanswered	Unanswered	Unanswered		14	4/8/2020	Cogent Bank	FL - 12
e \$150,000-350,00	UCAP SECURITIES LLC	1200 BRICKELL AVE	MIAMI	FL	33131	523120	Limited Liability Co	Unanswered	Male Owned	Unanswered		12	5/15/2020	City National Bank	FL - 24
e \$150,000-350,00	ZEUS FINANCIAL, LLC	5002 T-Rex Ave,Sui	BOCA RATON	FL	33431	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		17	4/30/2020	Cross River Bank	FL - 22
d \$350,000-1 millio	FAIM INVESTMENTS, LLC	135 south madison	THOMASVILLE	GA	31792	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		54	4/6/2020	Thomasville Nation	GA - 02
e \$150,000-350,00	FIRST SOUTHERN LLC	1720 WINDWARD (AL	PHARETTA	GA	30005	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		15	4/13/2020	Synovus Bank	GA - 06
e \$150,000-350,00	FIRST SOUTHERN SECURITIES, LLC	1720 WINDWARD (AL	PHARETTA	GA	30005	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		19	4/10/2020	Synovus Bank	GA - 06
e \$150,000-350,00	REGISTER FINANCIAL ASSOCIATES, INC.	3500 LENOX RD Sui	ATLANTA	GA	30326	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		20	4/28/2020	Georgia Primary Ba	GA - 05
e \$150,000-350,00	UNITED BROKERAGE CO., INC.	496 Laney-Walker	AUGUSTA	GA	30901	523120	Corporation	Unanswered	Unanswered	Unanswered		24	4/13/2020	Business Developm	GA - 12
e \$150,000-350,00	PACIFIC MED & NEPHROLOGY	736 Route 4 Ste 10	SINAJANA	GU	96910	523120	Corporation	Unanswered	Unanswered	Unanswered		25	4/27/2020	Bank of Guam	GU - 00
e \$150,000-350,00	LEE FINANCIAL GROUP HAWAII, INC.	3113 Olu St	HONOLULU	HI	96816	523120	Corporation	Unanswered	Unanswered	Unanswered		0	5/13/2020	Central Pacific Ban	HI - 01
d \$350,000-1 millio	BERTHEL FISHER & COMPANY	4201 42ND ST NE S	CEDAR RAPIDS	IA	52402	523120	Corporation	Unanswered	Unanswered	Unanswered		65	4/6/2020	Farmers & Merch	IA - 01
e \$150,000-350,00	HAWKEYE COMMODITIES COMPANY	1050 SW Brookside	GRIMES	IA	50111	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		4	7/7/2020	Great Western Ban	IA - 03
e \$150,000-350,00	NATIONS FINANCIAL GROUP, INC.	4000 River Ridge	CD CEDAR RAPIDS	IA	52402	523120	Corporation	White	Male Owned	Unanswered		16	4/8/2020	Farmers & Merch	IA - 01
c \$1-2 million	INLAND SECURITIES CORPORATION	2901 Butterfield R	OK DAK BROOK	IL	60523	523120	Corporation	Unanswered	Unanswered	Unanswered		55	4/15/2020	KeyBank National	IL - 05
d \$350,000-1 millio	BARRINGTON RESEARCH ASSOCIATES, INC.	161 N. Clark St. Sui	CHICAGO	IL	60601	523120	Corporation	Unanswered	Unanswered	Unanswered		21	4/11/2020	BMO Harris Bank	NIL - 07
d \$350,000-1 millio	DORMAN TRADING LLC	141 W JACKSON BL	CHICAGO	IL	60604	523120	Limited Liability Co	Unanswered	Male Owned	Unanswered		26	4/7/2020	Lakeside Bank	IL - 07
d \$350,000-1 millio	DRESNER INVESTMENT SERVICES INC	20 N CLARK ST	CHICAGO	IL	60602	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		22	5/3/2020	PNC Bank, National	IL - 07
d \$350,000-1 millio	EAGLE MARKET MAKERS, INC.	601 S LA SALLE ST	CHICAGO	IL	60605	523120	Corporation	Unanswered	Male Owned	Unanswered		52	4/14/2020	Lakeside Bank	IL - 02
d \$350,000-1 millio	LAKESHORE SECURITIES LP	401 S. La Salle Str	CHICAGO	IL	60605	523120	Limited Liability Pai	Unanswered	Unanswered	Unanswered		43	4/29/2020	Bank of America, N	IL - 02
d \$350,000-1 millio	LASALLE STREET SECURITIES, LLC	940 Industrial Drive	ELMHURST	IL	60126	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		29	4/11/2020	Old Second Nation	IL - 04
d \$350,000-1 millio	LOOP CAPITAL HOLDINGS II LLC	111 W. Jackson Blv	CHICAGO	IL	60604	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		23	4/13/2020	BMO Harris Bank	NIL - 07
d \$350,000-1 millio	PNT FINANCIAL LLC	141 W JACKSON BL	CHICAGO	IL	60604	523120	Limited Liability Co	Unanswered	Male Owned	Unanswered		50	4/14/2020	Lakeside Bank	IL - 07
d \$350,000-1 millio	QUIET LIGHT SECURITIES	141 W Jackson Blvc	CHICAGO	IL	60604	523120	Corporation	Unanswered	Unanswered	Unanswered		5	4/4/2020	Radius Bank	IL - 07
d \$350,000-1 millio	RIVAL SYSTEMS, LLC	350 N. Orleans St.	CHICAGO	IL	60654	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		26	4/28/2020	Fifth Third Bank	IL - 07
d \$350,000-1 millio	WORLD EQUITY GROOUP, INC.	1650 N. Arlington	F ARLINGTON HEIGH	IL	60004	523120	Cooperative	Unanswered	Unanswered	Unanswered		30	5/3/2020	Citibank, N.A.	IL - 08
e \$150,000-350,00	ACCESS FINANCIAL GROUP INC	118 N Clinton Stree	CHICAGO	IL	60661	523120	Corporation	Unanswered	Unanswered	Unanswered		17	5/4/2020	JPMorgan Chase B	IL - 07
e \$150,000-350,00	ARCHFORD CAPITAL STRATEGIES LLC	13 WOLF CREEK DR	SWANSEA	IL	62226	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		24	4/8/2020	Bank of O'Fallon	IL - 12
e \$150,000-350,00	GAR WOOD SECURITIES LLC	4355 WEAVER PKW	WARRENVILLE	IL	60555	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		8	5/3/2020	Bank of America, N	IL - 06
e \$150,000-350,00	GRACE BROTHERS LP	1603 ORRINGTON	EVANSTON	IL	60201	523120	Limited Liability Co	White	Male Owned	Non-Veteran		0	4/28/2020	The Northern Trust	IL - 09
e \$150,000-350,00	HIGH GROUND TRADING LLC	425 S FINANCIAL PI	CHICAGO	IL	60605	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		17	4/11/2020	Johnson Bank	IL - 02
e \$150,000-350,00	HUATAI FINANCIAL USA INC	141 W. Jackson Blv	CHICAGO	IL	60604	523120	Corporation	Unanswered	Unanswered	Unanswered		0	4/15/2020	BMO Harris Bank	NIL - 07
e \$150,000-350,00	KEMPER CAPITAL MANAGEMENT LLC	302 E Walnut St	ROBINSON	IL	62454	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		16	4/28/2020	Old National Bank	IL - 15
e \$150,000-350,00	LANDOLT SECURITIES, INC.	41412 IL ROUTE 83	ANTIOCH	IL	60002	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered		14	4/30/2020	Investors Communi	IL - 14
e \$150,000-350,00	LUPO SECURITIES LLC	141 W JACKSON BL	CHICAGO	IL	60604	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		16	4/14/2020	Lakeside Bank	IL - 07
e \$150,000-350,00	MIDWESTERN SECURITIES TRADING COMPANY,	1300 E. Washington	EAST PEORIA	IL	61611	523120	Limited Liability Co	White	Male Owned	Unanswered		16	4/4/2020	Princeville State Ba	IL - 17
e \$150,000-350,00	TRINITY EXECUTIONS, LLC	444 N WELLS ST Sui	CHICAGO	IL	60654	523120	Limited Liability Co	Unanswered	Male Owned	Unanswered		9	4/15/2020	Lakeside Bank	IL - 07
e \$150,000-350,00	UPSTREAM INVESTMENT PARTNERS LLC	2443 WARRENVILLI	LISLE	IL	60532	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		23	4/8/2020	Crystal Lake Bank	IL - 06
d \$350,000-1 millio	THURSTON SPRINGER MILLER HERD & TITAK, INC	9000 KEYSTONE XI	INDIANAPOLIS	IN	46240	523120	Corporation	Unanswered	Unanswered	Unanswered		47	4/15/2020	First Internet Bank	IN - 05
d \$350,000-1 millio	FIRST KENTUCKY SECURITIES CORPORATION	4360 BROWNSBOR	LOUISVILLE	KY	40207	523120	Corporation	Unanswered	Unanswered	Unanswered		32	4/14/2020	WesBanco Bank, In	KY - 03
d \$350,000-1 millio	DORSEY & COMPANY INC	511 Gravier Street	NEW ORLEANS	LA	70130	523120	Corporation	Unanswered	Unanswered	Unanswered		19	4/13/2020	Hancock Whitney	BLA - 02
d \$350,000-1 millio	JOHNSON RICE & COMPANY LLC	639 LOYOLA AVE S	NEW ORLEANS	LA	70113	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered		37	4/7/2020	IBERIABANK	LA - 02

e \$150,000-350,000	ORLEANS CAPITAL MANAGEMENT CORPORAT	830 W CAUSEWAY MANDEVILLE	LA	70471	523120 Corporation	Unanswered	Unanswered	Unanswered	12	4/10/2020	IBERIABANK	LA - 01
d \$350,000-1 millio	D.J. ST. GERMAIN COMPANY, INC. & SUB	1500 MAIN STREET SPRINGFIELD	MA	1115	523120 Corporation	Unanswered	Unanswered	Unanswered	34	4/13/2020	New Valley Bank & MA	MA - 01
d \$350,000-1 millio	WINSLOW EVANS & CROCKER, INC.	175 Federal ST BOSTON	MA	2110	523120 Corporation	Unanswered	Unanswered	Unanswered	50	4/10/2020	Axos Bank	MA - 07
e \$150,000-350,000	ADVISORY GROUP EQUITY SERVICES LTD	444 Washington St WOBURN	MA	1801	523120 Corporation	Unanswered	Unanswered	Unanswered	10	4/8/2020	Reading Co-Operat	MA - 05
e \$150,000-350,000	ARTHUR W. WOOD COMPANY	50 Congress Street BOSTON	MA	2109	523120 Corporation	Unanswered	Unanswered	Unanswered	14	5/1/2020	Kabbage, Inc.	MA - 08
e \$150,000-350,000	COLCHESTER PARTNERS LLC	175 Federal St Ste 3 BOSTON	MA	2110	523120 Limited Liability Co	Unanswered	Unanswered	Non-Veteran	14	4/15/2020	First Republic Bank	MA - 07
e \$150,000-350,000	JAMES N. BALL & COMPANY, INC.	3 Oak Street WESTBOROUGH	MA	1581	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	9	4/13/2020	Rollstone Bank & TI	MA - 02
d \$350,000-1 millio	CHAPIN DAVIS INC	1411 CLARKVIEW R BALTIMORE	MD	21209	523120 Corporation	Unanswered	Unanswered	Unanswered	42	5/3/2020	Bank of America, N	MD - 03
d \$350,000-1 millio	FULTON, BREAKEFIELD, BROENNIMAN LLC	4520 EAST WEST HIBETHESDA	MD	20814	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	17	4/27/2020	EagleBank	MD - 08
e \$150,000-350,000	CEROS FINANCIAL SERVICES INC.	1445 Research Blvc ROCKVILLE	MD	20850	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/28/2020	Live Oak Banking C	MD - 06
e \$150,000-350,000	CORNERSTONE WEALTH LLC	19833 LEITERSBURG HAGERSTOWN	MD	21742	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	21	4/7/2020	Middletown Valley	MD - 06
d \$350,000-1 millio	EMABOND SOLUTIONS, LLC	1797 Atlantic Blvd. AUBURN HILLS	MI	48326	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	17	4/29/2020	Comerica Bank	MI - 08
e \$150,000-350,000	CORE CAP HOLDINGS LLC	27777 Franklin Rd 4 CLIMAX	MI	49034	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	15	4/11/2020	The Huntington Na	MI - 03
e \$150,000-350,000	PENN & COMPANY LLC	20100 Outer Drive DEARBORN	MI	48124	523120 Sole Proprietorship	Unanswered	Unanswered	Unanswered	10	4/14/2020	KeyBank National A	MI - 12
e \$150,000-350,000	VENUS INVESTMENTS INC.	35943 GRATIOT AV CLINTON TOWNSHIM	MI	48035	523120 Corporation	Unanswered	Unanswered	Unanswered	18	4/12/2020	Oxford Bank	MI - 09
b \$2-5 million	NORTHLAND SECURITIES, INC.	150 South Fifth Strc MINNEAPOLIS	MN	55402	523120 Corporation	Unanswered	Unanswered	Unanswered	153	4/14/2020	BankVista	MN - 05
d \$350,000-1 millio	TPWA OPERATIONS, L.L.C.	5775 WAYZATA BL MINNEAPOLIS	MN	55416	523120 Limited Liability Co	White	Male Owned	Non-Veteran	22	4/15/2020	Bell Bank	MN - 05
e \$150,000-350,000	BALLAST ADVISORS, LLC	683 BIELENBERG DISAINT PAUL	MN	55125	523120 Limited Liability Co	White	Male Owned	Non-Veteran	15	4/4/2020	CorTrust Bank Nati	MN - 04
e \$150,000-350,000	DTK ENTERPRISES, LLC	329 N MAIN ST Ste AUSTIN	MN	55912	523120 Limited Liability Co	Unanswered	Male Owned	Unanswered	14	4/6/2020	MRV Banks	MN - 01
e \$150,000-350,000	FELT AND COMPANY	10900 Wayzata Blv HOPKINS	MN	55305	523120 Corporation	Unanswered	Unanswered	Unanswered	15	4/9/2020	Alliance Bank	MN - 03
e \$150,000-350,000	GRADIENT SECURITIES LLC	4105 Lexington Ave SAINT PAUL	MN	55126	523120 Limited Liability Co	White	Female Owned	Non-Veteran	0	4/8/2020	UMB Bank, Nation	MN - 04
e \$150,000-350,000	GREGORY THOEN	2133 PEREGRINE LN NORTH MANKATO	MN	56003	523120 Sole Proprietorship	Unanswered	Male Owned	Unanswered	17	4/28/2020	Farmers and Merc	MN - 01
e \$150,000-350,000	PRAIRIE PLANS, INC.	101 NORTH MARSF SPRINGFIELD	MN	56087	523120 Corporation	Unanswered	Male Owned	Non-Veteran	14	4/5/2020	Farmers and Merc	MN - 01
e \$150,000-350,000	VAN CLEMENS	900 2ND AVE SO SL MINNEAPOLIS	MN	55402	523120 Corporation	Unanswered	Unanswered	Unanswered	0	5/8/2020	Wells Fargo Bank,	MN - 05
d \$350,000-1 millio	BRIAR GREEN HOLDINGS INC.	13537 Barrett Park BALLWIN	MO	63021	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	7	4/8/2020	Carrollton Bank	MO - 02
e \$150,000-350,000	FIRST HEARTLAND CAPITAL, INC.	4101 Lake Saint Lo LAKE SAINT LOUIS	MO	63367	523120 Corporation	Unanswered	Unanswered	Unanswered	38	4/4/2020	Enterprise Bank & T	MO - 03
d \$350,000-1 millio	SMITH, MOORE & CO.	7777 Bonhomme A CLAYTON	MO	63105	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	62	4/14/2020	Parkside Financial	MO - 01
d \$350,000-1 millio	WEDGEWOOD PARTNERS	9909 CLAYTON RD SAINT LOUIS	MO	63124	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	22	5/3/2020	U.S. Bank, National	MO - 01
e \$150,000-350,000	CUTTER AND COMPANY BROKERAGE, INC.	15415 Clayton Roar BALLWIN	MO	63011	523120 Corporation	Unanswered	Unanswered	Unanswered	16	4/4/2020	Meramec Valley Ba	MO - 02
e \$150,000-350,000	DRESDEN CAPITAL MANAGEMENT, LLC	816 GEYER AVE SAINT LOUIS	MO	63104	523120 Limited Liability Co	White	Male Owned	Non-Veteran	43	4/9/2020	CIBC Bank USA	MO - 01
e \$150,000-350,000	MV HIGH PERFORMANCE, LLC	14567 N OUTER 40 CHESTERFIELD	MO	63017	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	13	4/6/2020	First State Bank of	MO - 02
e \$150,000-350,000	PATRICK CAPITAL MARKETS, LLC	7401 WATSON ROAD ST LOUIS	MO	63119	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	13	4/27/2020	Enterprise Bank & T	MO - 01
e \$150,000-350,000	SAXONY CAPITAL MANAGEMENT, LLC	7401 WATSON ROAD SAINT LOUIS	MO	63119	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	25	4/27/2020	MRV Banks	MO - 01
e \$150,000-350,000	SAXONY SECURITIES, INC	7401 WATSON ROAD SAINT LOUIS	MO	63119	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	25	4/27/2020	Enterprise Bank & T	MO - 01
e \$150,000-350,000	THE MARKETING ALLIANCE, INC.	111 West Port Plaz SAINT LOUIS	MO	63146	523120 Corporation	White	Male Owned	Non-Veteran	29	4/28/2020	KeyBank National A	MO - 01
e \$150,000-350,000	VESTECH SECURITIES, INC.	11477 OLDE RD SAINT LOUIS	MO	63141	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	4	5/3/2020	U.S. Bank, National	MO - 01
e \$150,000-350,000	HARDY-REED, LLC	PO BOX 437 TUPELO	MS	38802	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	12	4/5/2020	Renasant Bank	MS - 01
e \$150,000-350,000	S G LONG FINANCIAL SERVICES CORP	283 W Front Street MISSOULA	MT	59802	523120 Corporation	White	Male Owned	Unanswered	12	4/11/2020	First Interstate Ban	MT - 00
d \$350,000-1 millio	FIRST BALLANTYNE LLC	13950 Ballantyne C CHARLOTTE	NC	28277	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	15	4/14/2020	Truist Bank d/b/a	NC - 09
d \$350,000-1 millio	LESER LLC	10615 TEXLAND BL CHARLOTTE	NC	28273	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	17	5/3/2020	Wells Fargo Bank,	NC - 09
d \$350,000-1 millio	NATHAN HALE CAPITAL, LLC	227 W Trade St Ste CHARLOTTE	NC	28202	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	15	4/16/2020	South State Bank,	NC - 09
d \$350,000-1 millio	UNITED BROKERAGE COMPANY OF NORTH CARO	2355 Township Rd. CHARLOTTE	NC	28273	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	27	4/13/2020	Business Developm	NC - 09
e \$150,000-350,000	CAROLINA FINANCIAL GROUP, LLC	100 Elks Club Rd BREVARD	NC	28712	523120 Corporation	Unanswered	Unanswered	Unanswered	17	5/3/2020	Fifth Third Bank	NC - 11
e \$150,000-350,000	SAPERE WEALTH MANAGEMENT LLC	130 MATTHEWS ST MATTHEWS	NC	28105	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	7	4/14/2020	PNC Bank, National	NC - 09
e \$150,000-350,000	WEALTHSHIELD LLC	175 REGENCY WOCCARY	NC	27518	523120 Limited Liability Co	Unanswered	Male Owned	Non-Veteran	9	4/4/2020	United Bank	NC - 02
d \$350,000-1 millio	CORRIDOR INVESTORS, LLC	1 Main St N MINOT	ND	58703	523120 Limited Liability Co	White	Male Owned	Non-Veteran	32	4/3/2020	First Western Bank	ND - 00
e \$150,000-350,000	CAPITAL FINANCIAL HOLDINGS, INC.	1821 West Burdick MINOT	ND	58701	523120 Corporation	White	Male Owned	Non-Veteran	5	4/4/2020	First Western Bank	ND - 00
e \$150,000-350,000	GHY USA INC	572 S 5TH ST PEMBINA	ND	58271	523120 Corporation	Unanswered	Unanswered	Non-Veteran	38	4/27/2020	KodaBank	ND - 00
e \$150,000-350,000	D.L. CARLSON INVESTMENT GROUP, INC.	2 CAPITAL PLZ Suite CONCORD	NH	3301	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	12	4/28/2020	Merrimack County	NH - 02
e \$150,000-350,000	PONEMAH CROSSING DENTAL, P.C.	102 Ponemah Rd. LAMHERST	NH	3031	523120 Corporation	Unanswered	Unanswered	Unanswered	11	4/13/2020	TD Bank, National	NH - 02
b \$2-5 million	HENNION & WALSH, INC.	2001 Route 46 PARSIPPANY	NJ	7054	523120 Corporation	Unanswered	Unanswered	Unanswered	177	4/9/2020	First Bank	NJ - 11
c \$1-2 million	GMS GROUP HOLDINGS CORP	120 Eagle Rock Ave EAST HANOVER	NJ	7936	523120 Corporation	Unanswered	Unanswered	Unanswered	103	4/10/2020	Bank of America, N	NJ - 11
c \$1-2 million	STERLING SECURITIES LLC	466 Bloomfield Ave NEWARK	NJ	7107	523120 Limited Liability Co	Unanswered	Male Owned	Unanswered	280	4/28/2020	Valley National Bar	NJ - 08
d \$350,000-1 millio	BUCKMAN, BUCKMAN & REID, INC	44 Church St Little LITTLE SILVER	NJ	7739	523120 Corporation	Unanswered	Unanswered	Unanswered	5	4/14/2020	Valley National Bar	NJ - 04
d \$350,000-1 millio	FCF GROUP HOLDINGS LLC	221 River St Suite 9 HOBOKEN	NJ	7030	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	29	4/7/2020	Customers Bank	NJ - 08
d \$350,000-1 millio	GARDEN STATE SECURITIES INC	328 Newman Sprin RED BANK	NJ	7701	523120 Corporation	Unanswered	Unanswered	Unanswered	33	4/27/2020	Bank of America, N	NJ - 04
d \$350,000-1 millio	PTR INC	601 HADDON AVE COLLINGSWOOD	NJ	8108	523120 Corporation	Unanswered	Unanswered	Unanswered	4/27/2020	PNC Bank, National	NJ - 01	
d \$350,000-1 millio	SECUREVEST FINANCIAL GROUP	163 Madison Aven MORRISTOWN	NJ	7960	523120 Corporation	Unanswered	Unanswered	Unanswered	31	4/27/2020	Peapack-Gladstone	NJ - 07

d \$350,000-1 millio	TOMORROWE'S FINANCIAL SERVICE, INC.	437 NEWMAN SPRING CROFT	NJ	7738	523120 Corporation	Unanswered	Unanswered	Unanswered	24	4/6/2020	Manasquan Bank	NJ - 04
d \$350,000-1 millio	VALKYRIE CAPITAL CORPORATION	37 LAFFERTY DR CHERRY HILL	NJ	8002	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	1	4/29/2020	Quaint Oak Bank	NJ - 01
d \$350,000-1 millio	WBI INVESTMENTS, INC.	331 Newman Spring	RED BANK NJ	7701	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	0	4/28/2020	Capital One, Natior	NJ - 04
e \$150,000-350,000	AEON CAPITAL INC	1715 NJ-35 #101	MIDDLETOWN NJ	7748	523120 Corporation	Unanswered	Unanswered	Unanswered	8	4/28/2020	First Bank of the La	NJ - 04
e \$150,000-350,000	APW CAPITAL	100 Enterprise Driv	ROCKAWAY NJ	8766	523120 Corporation	Unanswered	Unanswered	Unanswered	20	4/29/2020	First Home Bank	NJ - 11
e \$150,000-350,000	BILTMORE CAPITAL ADVISORS LLC	33 WITHERSPOON	PRINCETON NJ	8542	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	8	4/27/2020	First Bank	NJ - 12
e \$150,000-350,000	BLACKSTONE REALTY MANAGEMENT LLC	570 Broad St #1206	NEWARK NJ	7102	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	24	4/5/2020	ConnectOne Bank	NJ - 08
e \$150,000-350,000	CROSS POINT CAPITAL	608 Sherwood Park	MOUNTAINSIDE NJ	7092	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	5	5/3/2020	Cross River Bank	NJ - 07
e \$150,000-350,000	DYNASTY ADVISORS LLC	49 County Road 52	ENGLISHTOWN NJ	7726	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	12	4/15/2020	Manufacturers and	NJ - 04
e \$150,000-350,000	GLOBAL CREDIT PARTNERS LLC	10 MOUNTAINVIEW	UPPER SADDLE RIV NJ	7458	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	7	4/11/2020	TD Bank, National	NJ - 05
e \$150,000-350,000	MERRION SECURITIES LLC	210 Elmer St	WESTFIELD NJ	7090	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	8	5/2/2020	JPMorgan Chase B&	NJ - 07
e \$150,000-350,000	NEST SEEKERS CA CORP	56 Utter Ave	HAWTHORNE NJ	7506	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	0	4/28/2020	Capital One, Natior	NJ - 05
e \$150,000-350,000	THEMIS TRADING LLC	10 Town Square Str	CHATHAM NJ	7928	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	11	4/11/2020	ConnectOne Bank	NJ - 07
e \$150,000-350,000	TRIBAL CAPITAL MARKETS LLC	2 Bridge Ave Ste 62	RED BANK NJ	7701	523120 Limited Liability Co	Unanswered	Unanswered	Non-Veteran	10	4/16/2020	First Republic Bank	NJ - 04
d \$350,000-1 millio	PIZZA INVESTMENT ENTREPRENEURS, LLC	4616 W. Sahara Blv	LAS VEGAS NV	89102	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	208	4/8/2020	Gulf Coast Bank an	NV - 01
b \$2-5 million	BREAN CAPITAL LLC	3 TIMES SQ FL 14	NEW YORK NY	10036	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	129	4/15/2020	Citibank, N.A.	NY - 10
b \$2-5 million	DAVID LERNER ASSOCIATES, INC.	477 Jericho Turnpi	SYOSSET NY	11791	523120 Subchapter S Corp	White	Male Owned	Non-Veteran	231	4/11/2020	The Huntington Na	NY - 03
b \$2-5 million	SAMUEL A. RAMIREZ & COMPANY, INC.	61 BROADWAY FL 2	NEW YORK NY	10006	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	127	5/1/2020	JPMorgan Chase B&	NY - 10
c \$1-2 million	AEGIS CAPITAL CORP.	810 Seventh Avenue	NEW YORK NY	10019	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	123	4/15/2020	Signature Bank	NY - 10
c \$1-2 million	AXIO FINANCIAL LLC	489 Fifth Avenue	NEW YORK NY	10017	523120 Limited Liability Co	Unanswered	Male Owned	Unanswered	0	4/9/2020	New York Business	NY - 12
c \$1-2 million	BAY CREST PARTNERS, LLC	40 WALL STREET, 42	NEW YORK NY	10005	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/28/2020	Signature Bank	NY - 10
c \$1-2 million	BLUE MATRIX I LLC	853 BROADWAY. S	NEW YORK NY	10003	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/28/2020	Signature Bank	NY - 07
c \$1-2 million	C. L. KING & ASSOCIATES, INC.	9 Elk Street	ALBANY NY	12207	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	68	4/10/2020	KeyBank National	NY - 20
c \$1-2 million	CASTLEOAK SECURITIES, L.P.	110 East 59th Stree	NEW YORK NY	10022	523120 Partnership	Unanswered	Unanswered	Unanswered	45	4/27/2020	BMO Harris Bank N	NY - 12
c \$1-2 million	EAST END CAPITAL PARTNERS LLC	600 Madison Ave S	NEW YORK NY	10022	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	1	4/5/2020	BankUnited, Natior	NY - 12
c \$1-2 million	LAIDLAW HOLDINGS, LTD	90 PARK AVE, 31ST	NEW YORK NY	10016	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/27/2020	Signature Bank	NY - 12
c \$1-2 million	ODEON CAPITAL GROUP LLC	750 Lexington Aver	NEW YORK NY	10022	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	104	4/11/2020	KeyBank National	NY - 12
c \$1-2 million	ROSENBLATT SECURITIES	40 Wall Street 59th	NEW YORK NY	10005	523120 Corporation	Unanswered	Unanswered	Unanswered	62	4/10/2020	Stearns Bank Natio	NY - 10
c \$1-2 million	WELLINGTON SHIELDS & CO., LLC	140 Broadway, 44th	NEW YORK NY	10005	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	82	4/15/2020	Emigrant Bank	NY - 10
d \$350,000-1 millio	ACADEMY SECURITIES INC.	140 45TH ST SUITE	NEW YORK NY	10017	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/16/2020	C3Bank, National A	NY - 12
d \$350,000-1 millio	ACCEL CAPITAL INC.	65 W 36TH ST 12 F	NEW YORK NY	10018	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	0	4/28/2020	Signature Bank	NY - 10
d \$350,000-1 millio	ALEXANDER CAPITAL, L.P.	17 State Street	NEW YORK NY	10004	523120 Partnership	Unanswered	Unanswered	Unanswered	66	5/1/2020	JPMorgan Chase B&	NY - 10
d \$350,000-1 millio	AMERICAS EXECUTIONS LLC	40 WALL ST SUITE 1	NEW YORK NY	10005	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/29/2020	Signature Bank	NY - 10
d \$350,000-1 millio	ARCTIC SECURITIES LLC	1 Rockefeller Plz Rr	NEW YORK NY	10020	523120 Limited Liability Co	Unanswered	Unanswered	Non-Veteran	11	4/28/2020	First Republic Bank	NY - 12
d \$350,000-1 millio	AUERBACH GRAYSON & COMPANY LLC	25W 45TH ST, Suite	NEW YORK NY	10036	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	32	4/6/2020	City National Bank	NY - 10
d \$350,000-1 millio	AXIOM CAPITAL MANAGEMENT, INC.	350 Fifth Avenue, S	NEW YORK NY	10118	523120 Corporation	Unanswered	Unanswered	Unanswered	31	4/27/2020	Emigrant Bank	NY - 10
d \$350,000-1 millio	BEECH HILL SECURITIES, INC.	888 3RD AVE 16TH	NEW YORK NY	10022	523120 Subchapter S Corp	White	Male Owned	Non-Veteran	50	4/14/2020	City National Bank	NY - 12
d \$350,000-1 millio	BRIGHTON SECURITIES CORP	1703 Monroe Ave	ROCHESTER NY	14618	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/5/2020	Five Star Bank	NY - 25
d \$350,000-1 millio	DREXEL HAMILTON LLC	77 Water St	NEW YORK NY	10005	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	33	4/27/2020	Celtic Bank Corpor	NY - 10
d \$350,000-1 millio	EASTMORE MANAGEMENT LLC	40 WALL STREET ST	NEW YORK NY	10005	523120 Partnership	Unanswered	Unanswered	Unanswered	0	4/15/2020	TD Bank, National	NY - 10
d \$350,000-1 millio	ENLACE NEW YORK INC	115 BROADWAY R	NEW YORK NY	10006	523120 Corporation	Unanswered	Unanswered	Unanswered	14	5/1/2020	Bank of America, N	NY - 10
d \$350,000-1 millio	EXOS SECURITIES LLC	12 E 49th St Fl 15th	NEW YORK NY	10017	523120 Limited Liability Co	Unanswered	Male Owned	Non-Veteran	50	5/1/2020	Bank of America, N	NY - 12
d \$350,000-1 millio	FIRSTRADE SECURITIES INC	3050 Whitestone E	FLUSHING NY	11354	523120 Corporation	Unanswered	Unanswered	Unanswered	52	5/1/2020	Citibank, N.A.	NY - 06
d \$350,000-1 millio	INT'L SECURITY ASSOCIATES INC	C/O ISA, 130 E 59TH	NEW YORK NY	10022	523120 Subchapter S Corp	Unanswered	Unanswered	Non-Veteran	40	5/1/2020	JPMorgan Chase B&	NY - 12
d \$350,000-1 millio	JOSEPH GUNNAR & CO., L.L.C.	30 BROAD ST 11th	NEW YORK NY	10004	523120 Partnership	Unanswered	Female Owned	Non-Veteran	21	4/27/2020	Newtek Small Busin	NY - 10
d \$350,000-1 millio	KINDERWISE LEARNING ASSOCIATES LLC	56 June Road	NORTH SALEM NY	10560	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	59	4/15/2020	Manufacturers and	NY - 18
d \$350,000-1 millio	MONNESS, CRESPI, HARDT 7 CO., INC.	767 Third Ave 16th	NEW YORK NY	10017	523120 Corporation	Unanswered	Unanswered	Unanswered	31	4/10/2020	Dime Community BNY	- 12
d \$350,000-1 millio	OSCAR GRUS %26 SON INCORPORATED	430 Park Avenue 6t	NEW YORK NY	10022	523120 Corporation	Unanswered	Unanswered	Unanswered	15	4/30/2020	JPMorgan Chase B&	NY - 12
d \$350,000-1 millio	SIDOTI & COMPANY, LLC	1212 AVENUE OF T	NEW YORK NY	10036	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	48	4/12/2020	TD Bank, National	NY - 10
d \$350,000-1 millio	SRT SECURITIES	1120 AVENUE OF T	NEW YORK NY	10036	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	21	4/15/2020	Bank of America, N	NY - 10
d \$350,000-1 millio	STOEVEGLASS AND CO, INC	30 Wall Street, 7th	NEW YORK NY	10005	523120 Corporation	Unanswered	Unanswered	Unanswered	38	5/1/2020	Citibank, N.A.	NY - 10
d \$350,000-1 millio	VERIS WEALTH PARTNERS, LLC	17 STATE ST Suite 2	NEW YORK NY	10004	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	21	4/27/2020	Bank of San Francis	NY - 10
d \$350,000-1 millio	WHITAKER SECURITIES LLC	233 BROADWAY Su	NEW YORK NY	10279	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	54	6/16/2020	JPMorgan Chase B&	NY - 10
e \$150,000-350,000	136 FRONT ST LLC	136 Front Street	GREENPORT NY	11944	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	14	4/8/2020	BNB Bank	NY - 01
e \$150,000-350,000	ALLEGiant REAL ESTATE CAPITAL, LP	135 E 57th St Fl 17	NEW YORK NY	10022	523120 Limited Liability Pa	Unanswered	Unanswered	Non-Veteran	10	4/12/2020	First Republic Bank	NY - 12
e \$150,000-350,000	AMERIVET SECURITIES, INC.	1155 6th Ave, 14th	NEW YORK NY	10036	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/27/2020	Live Oak Banking C	NY - 10
e \$150,000-350,000	ANDREW GARRETT, INC	52 Vanderbilt Ave	FNEW YORK NY	10017	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/29/2020	Capital One, Natior	NY - 12
e \$150,000-350,000	ASCENDANT ALTERNATIVE STRATEGIES, LLC	405 LEXINGTON AV	NEW YORK NY	10174	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/28/2020	Signature Bank	NY - 12

e \$150,000-350,000	BRIDGEROCK CAPITAL HOLDINGS, INC.	1501 BROADWAY S NEW YORK	NY	10036	523120 Corporation	Unanswered	Unanswered	Unanswered	3	5/1/2020	JPMorgan Chase Ba	NY - 10
e \$150,000-350,000	CJS SECURITIES, INC.	50 Main Street 325 WHITE PLAINS	NY	10606	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	12	5/3/2020	Citibank, N.A.	NY - 16
e \$150,000-350,000	CORFUNDING LLC	65 W 36 ST SUITE 1 NEW YORK	NY	10018	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/27/2020	Signature Bank	NY - 10
e \$150,000-350,000	DATA CAPITAL MANAGEMENT LLC	134 W 26th street : NEW YORK	NY	10001	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	5	5/3/2020	Citibank, N.A.	NY - 10
e \$150,000-350,000	DEMATTEO RESEARCH LLC	510 Madison Ave S NEW YORK	NY	10022	523120 Limited Liability Co	Unanswered	Unanswered	Non-Veteran	13	4/14/2020	First Republic Bank	NY - 12
e \$150,000-350,000	GFE NY LLC	27-01 QUEENS PLA LONG ISLAND CITY	NY	11101	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	16	5/3/2020	WebBank	NY - 12
e \$150,000-350,000	HAMILTON EXECUTIONS, LLC	71 Broadway NEW YORK	NY	10006	523120 Limited Liability Co	Unanswered	Unanswered	Male Owned	17	4/16/2020	Marine Bank & Tru	NY - 10
e \$150,000-350,000	HOLD BROTHERS CAPITAL LLC	10 West 46TH ST, S NEW YORK	NY	10036	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	17	4/13/2020	BMO Harris Bank	NY - 10
e \$150,000-350,000	KISKI GROUP, INC.	888 7TH AVE STE 5 NEW YORK	NY	10019	523120 Corporation	Unanswered	Unanswered	Unanswered	11	5/1/2020	JPMorgan Chase Ba	NY - 10
e \$150,000-350,000	LOEB PARTNERS CORPORATION	100 WALL ST STE 7 NEW YORK	NY	10005	523120 Corporation	Unanswered	Unanswered	Unanswered	11	5/3/2020	Bank of America, N	NY - 10
e \$150,000-350,000	NETREX CAPITAL MARKETS LLC	270 S SERVICE RD MELVILLE	NY	11747	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	9	4/9/2020	The First National	ENY - 02
e \$150,000-350,000	OLD CITY SECURITIES LLC	589 Fifth Ave NEW YORK	NY	10017	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	8	4/27/2020	Blue Ridge Bank, N	NY - 12
e \$150,000-350,000	PIVOTALPATH INC	1460 Broadway NEW YORK	NY	10036	523120	Unanswered	Unanswered	Unanswered	7	5/1/2020	JPMorgan Chase Ba	NY - 10
e \$150,000-350,000	PRIME EXECUTIONS, INC	40 WALL ST - SUITE NEW YORK	NY	10005	523120 Corporation	Unanswered	Unanswered	Unanswered	0	4/28/2020	Signature Bank	NY - 10
e \$150,000-350,000	RIALTO TRADING TECHNOLOGY LLC	39 Broadway Suite NEW YORK	NY	10006	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	12	4/27/2020	Piermont Bank	NY - 10
e \$150,000-350,000	SCORE PRIORITY CORP.	1 PENN PLAZA 16TH NEW YORK	NY	10119	523120 Corporation	Unanswered	Unanswered	Unanswered	12	4/15/2020	BMO Harris Bank	NY - 12
e \$150,000-350,000	STERLING VALUATION GROUP, INC	590 Madison Aven NEW YORK	NY	10022	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	8	5/3/2020	Citibank, N.A.	NY - 12
e \$150,000-350,000	STRATOS BAKERY CORP.	C/O BOCADO CAFE NEW YORK	NY	10128	523120 Corporation	Unanswered	Unanswered	Unanswered	31	5/1/2020	JPMorgan Chase Ba	NY - 12
e \$150,000-350,000	TAGLICH BROTHERS, INC.	790 NEW YORK AVI HUNTINGTON	NY	11743	523120 Corporation	Unanswered	Unanswered	Unanswered	15	4/28/2020	BNB Bank	NY - 03
e \$150,000-350,000	THE VERTICAL TRADING GROUP LLC	417 5th ave 5th flr NEW YORK	NY	10016	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	18	5/6/2020	Cross River Bank	NY - 12
e \$150,000-350,000	TRADEZERO USA INC	68 34th Street Suite BROOKLYN	NY	11232	523120 Corporation	Unanswered	Unanswered	Unanswered	29	4/14/2020	TD Bank, National	NY - 07
e \$150,000-350,000	VECTRA CAPITAL LLC	445 Park Avenue, S NEW YORK	NY	10022	523120 Limited Liability Pa	Unanswered	Unanswered	Unanswered	10	4/27/2020	Peapack-Gladstone	NY - 12
e \$150,000-350,000	WESTWOOD CAPITAL LLC	489 Fifth NEW YORK	NY	10017	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	8	4/27/2020	Celtic Bank Corpora	NY - 12
c \$1-2 million	MATSON MONEY INC.	5955 Deerfield Blvc MASON	OH	45040	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	75	4/16/2020	PNC Bank, National	OH - 01
c \$1-2 million	ROSS, SINCLAIRE & ASSOCIATES, LLC	700 WALNUT ST CINCINNATI	OH	45202	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	75	4/14/2020	Fifth Third Bank	OH - 01
d \$350,000-1 millio	HBC-II, LLC	221 4TH ST E CINCINNATI	OH	45202	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	26	5/3/2020	U.S. Bank, National	OH - 01
d \$350,000-1 millio	NORTHCOAST RESEARCH PARTNERS, LLC	1301 E Ninth St CLEVELAND	OH	44114	523120 Limited Liability Co	Unanswered	Unanswered	Male Owned	32	4/27/2020	Peoples Bank of Al	OH - 11
d \$350,000-1 millio	WESTMINSTER FINANCIAL SECURITIES, INC.	40 North Main Stee DAYTON	OH	45423	523120 Corporation	Unanswered	Unanswered	Unanswered	39	4/28/2020	KeyBank National	A OH - 10
d \$350,000-1 millio	ZELMAN HOLDINGS, LLC	3333 Richmond Rd. BEACHWOOD	OH	44122	523120 Partnership	Unanswered	Unanswered	Unanswered	27	4/6/2020	CIBC Bank USA	OH - 11
e \$150,000-350,000	COVEST SERVICES LLC	1100 Superior Aver CLEVELAND	OH	44114	523120 Limited Liability Co	White	Male Owned	Non-Veteran	11	4/13/2020	KeyBank National	A OH - 11
e \$150,000-350,000	ENZA FINANCIAL, LLC	6450 Rockside Woc INDEPENDENCE	OH	44131	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	12	4/5/2020	Westfield Bank, FS	OH - 09
e \$150,000-350,000	PLANNED FINANCIAL SERVICES, LLC	7000 Fitzwater Rd, BRECKSVILLE	OH	44141	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	9	4/5/2020	Westfield Bank, FS	OH - 11
d \$350,000-1 millio	PAULSON INVESTMENT COMPANY, LLC	5335 Meadows Rd. LAKE OSWEGO	OR	97035	523120 Limited Liability Co	Unanswered	Unanswered	Non-Veteran	57	4/13/2020	First Republic Bank	OR - 01
d \$350,000-1 millio	TRU INDEPENDENCE, LLC	15350 sw sequoia r PORTLAND	OR	97224	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	38	4/14/2020	Umpqua Bank	OR - 01
e \$150,000-350,000	CASCADIA ADVISORY SERVICES, LLC	8050 SW Plaffe St PORTLAND	OR	97223	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	18	4/28/2020	Columbia State Bar	OR - 01
e \$150,000-350,000	PHILLIPS AND COMPANY ADVISORS, LLC	521 SW 11TH AVE S PORTLAND	OR	97205	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	18	4/5/2020	Idaho First Bank	OR - 01
e \$150,000-350,000	RUSSELL WILKINSON RERICK, INC.	12703 SW 67TH AV PORTLAND	OR	97223	523120 Corporation	Unanswered	Unanswered	Unanswered	10	4/10/2020	Northwest Bank	OR - 01
e \$150,000-350,000	THE COMMERCE COMPANY	5440 SW Westgate PORTLAND	OR	97221	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	16	4/27/2020	Riverview Bank	OR - 01
b \$2-5 million	COHEN & COMPANY, LLC	2929 ARCH ST, Suit PHILADELPHIA	PA	19104	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	87	4/28/2020	Fifth Third Bank	PA - 02
d \$350,000-1 millio	BILL FEW ASSOCIATES INC	107 MOUNT NEBO PITTSBURGH	PA	15237	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	35	4/11/2020	PNC Bank, National	PA - 12
e \$150,000-350,000	BANCROFT CAPITAL, LLC	501 OFFICE CENTEFFORT WASHINGTON	PA	19034	523120 Limited Liability Co	Unanswered	Unanswered	Male Owned	19	4/8/2020	First National Bank	PA - 07
e \$150,000-350,000	LONG FINANCIAL GROUP	660 SENTRY PKWY : BLUE BELL	PA	19422	523120 Corporation	White	Male Owned	Veteran	10	4/11/2020	Truist Bank d/b/a	BPA - 07
e \$150,000-350,000	ROBINSON & ROBINSON, INC.-SBA SMALL 7A TEF	1337 Hamilton Stre ALLENTOWN	PA	18102	523120 Corporation	Unanswered	Unanswered	Unanswered	0	5/3/2020	TD Bank, National	PA - 15
e \$150,000-350,000	TRANSTAR BROKERAGE INC.	10864 PA-61 KULPMONT	PA	17834	523120 Corporation	Unanswered	Unanswered	Unanswered	9	4/10/2020	Mid Penn Bank	PA - 11
e \$150,000-350,000	BROWN, LISLE /CUMMINGS, INC.	1 Turks Head Place PROVIDENCE	RI	2903	523120 Subchapter S Corp	Unanswered	Unanswered	Male Owned	14	4/10/2020	Bank Rhode Island	RI - 01
c \$1-2 million	EMPLOYERS HEALTH NETWORK HOLDINGS LLC	465 W COLEMAN B MOUNT PLEASANT	SC	29464	523120 Partnership	Unanswered	Unanswered	Unanswered	191	4/10/2020	Cogent Bank	SC - 01
d \$350,000-1 millio	MANTEI & ASSOCIATES, LIMITED	4580 Sunset Blvd. LEXINGTON	SC	29070	523120 Corporation	Unanswered	Unanswered	Unanswered	33	4/28/2020	Ameris Bank	SC - 02
e \$150,000-350,000	SANDLAPPER SECURITIES LLC	406 N. PLEASANTBI GREENVILLE	SC	29607	523120 Limited Liability Co	Unanswered	Unanswered	Male Owned	0	5/15/2020	Wells Fargo Bank,	SC - 03
d \$350,000-1 millio	SCHWAN FINANCIAL GROUP LLC	320 6th Avenue SE ABERDEEN	SD	57401	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	46	4/5/2020	Plains Commerce	BSD - 00
e \$150,000-350,000	CORNERSTONE FINANCIAL SOLUTIONS, INC	208 DAKOTA AVE S HURON	SD	57350	523120 Corporation	Unanswered	Unanswered	Unanswered	4	4/15/2020	Farm Credit Service	SD - 00
e \$150,000-350,000	HAHN FINANCIAL GROUP INC.	3101 S PHILLIPS AV SIOUX FALLS	SD	57105	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	13	4/8/2020	Minnwest Bank	SD - 00
d \$350,000-1 millio	WILEY BROS. - AINTREE CAPITAL, LLC	40 BURTON HILLS ENASHVILLE	TN	37215	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	44	4/11/2020	Pinnacle Bank	TN - 05
e \$150,000-350,000	CAPWEALTH ADVISORS, LLC	3000 MERIDIAN BL FRANKLIN	TN	37067	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	14	4/15/2020	Pinnacle Bank	TN - 07
e \$150,000-350,000	CENTER STREET SECURITIES	2 International Plaz NASHVILLE	TN	37217	523120 Corporation	Unanswered	Unanswered	Unanswered	16	4/13/2020	Regions Bank	TN - 05
e \$150,000-350,000	J & E PARTNERS, PLLC	1000 CORPORATE FRANKLIN	TN	37067	523120 Limited Liability Co	Unanswered	Unanswered	Unanswered	3	4/27/2020	CapStar Bank	TN - 07
c \$1-2 million	CAPITAL INSTITUTIONAL SERVICES INC	1700 PACIFIC AVE S DALLAS	TX	75201	523120 Corporation	Unanswered	Unanswered	Unanswered	63	4/6/2020	BOKF, National Ass	TX - 30
c \$1-2 million	SUNBELT SECURITIES, INC.	2700 Post Oak Blve HOUSTON	TX	77056	523120 Corporation	Unanswered	Unanswered	Unanswered	86	4/14/2020	Allegiance Bank	TX - 07
d \$350,000-1 millio	CRUSE FINANCIAL GROUP, INC.	5420 LYNDON B JO DALLAS	TX	75240	523120 Subchapter S Corp	Unanswered	Unanswered	Unanswered	52	4/14/2020	Frost Bank	TX - 24

d \$350,000-1 millio	GOVERNMENT CAPITAL CORPORATION	345 MIRON DR	SOUTHLAKE	TX	76092	523120	Corporation	Unanswered	Male Owned	Unanswered	25	4/6/2020	VeraBank, National TX - 24
d \$350,000-1 millio	HERNDON PLANT OAKLEY LTD	800 N SHORELINE E	CORPUS CHRISTI	TX	78401	523120	Partnership	Unanswered	Unanswered	Unanswered	22	4/8/2020	First Community B TX - 27
d \$350,000-1 millio	KILPATRICK COMPANIES LTD	1050 WILCREST DR	HOUSTON	TX	77042	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	24	5/3/2020	Bank of America, N TX - 07
d \$350,000-1 millio	OXBOW ADVISORS, LLC	800 N. SHORELINE I	CORPUS CHRISTI	TX	78401	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	23	4/8/2020	First Community B TX - 27
d \$350,000-1 millio	PROSPERA FINANCIAL SERVICES, INC.	5429 LYNDON B JO	DALLAS	TX	75240	523120	Corporation	Unanswered	Unanswered	Unanswered	58	4/15/2020	Comerica Bank TX - 24
d \$350,000-1 millio	STONECREST CAPITAL MARKETS, INC	300 W 6th St Suite	J AUSTIN	TX	78701	523120	Corporation	Unanswered	Unanswered	Unanswered	27	4/8/2020	Keystone Bank, Nat TX - 21
e \$150,000-350,000	ADVISOR RESOURCE COUNCIL, LLC	17300 DALLAS PAR	DALLAS	TX	75248	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	11	4/8/2020	Spirit of Texas Bank TX - 03
e \$150,000-350,000	FREDERICK MCKNIGHT	4118 Fannin St	HOUSTON	TX	77004	523120	Self-Employed Indi	Unanswered	Unanswered	Unanswered	9	6/26/2020	Kabbage, Inc. TX - 02
e \$150,000-350,000	GOLDEN CIRCLE FINANCIAL GROUP, LLC	3223 S LOOP 289	SILUBBOCK	TX	79423	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	10	4/10/2020	Peoples Bank TX - 19
e \$150,000-350,000	HFG WEALTH MANAGEMENT LLC	1790 Hughes Landi	SPRING	TX	77380	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	16	4/30/2020	Zions Bank, A Divisi TX - 08
e \$150,000-350,000	INSCRIPTION CAPITAL HOLDINGS LLC	2925 RICHMOND A	HOUSTON	TX	77098	523120	Limited Liability Co	Unanswered	Male Owned	Unanswered	13	4/6/2020	Origin Bank TX - 02
e \$150,000-350,000	KENNEDY FINANCIAL SERVICES, INC.	904 P.O. BOX	EASTLAND	TX	76448	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	15	4/5/2020	First Financial Bank TX - 11
e \$150,000-350,000	M.E. ALLISON & CO., INC.	950 E BASSE RD	SAN ANTONIO	TX	78209	523120	Corporation	Unanswered	Unanswered	Unanswered	22	4/14/2020	Jefferson Bank TX - 20
e \$150,000-350,000	MAPLEWOOD INVESTMENT ADVISORS, INC.	12222 Merit Drive,	DALLAS	TX	75251	523120	Corporation	Unanswered	Unanswered	Unanswered	6	4/28/2020	Comerica Bank TX - 32
e \$150,000-350,000	MDB CAPITAL GROUP LLC	2425 CEDAR SPRIN	DALLAS	TX	75201	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	5	5/3/2020	Wells Fargo Bank, TX - 30
e \$150,000-350,000	MICROVENTURE MARKETPLACE INC.	11601 Alterra Pkwy	AUSTIN	TX	78758	523120	Corporation	Unanswered	Unanswered	Unanswered	18	5/6/2020	Cross River Bank TX - 10
e \$150,000-350,000	MONEX SECURITIES, INC.	440 Louisiana St	HOUSTON	TX	77002	523120	Corporation	Unanswered	Unanswered	Unanswered	0	4/29/2020	UMB Bank, Nation TX - 02
e \$150,000-350,000	PER STIRLING GROUP LLC	4800 BEE CAVES	AUSTIN	TX	78746	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	0	5/6/2020	Wells Fargo Bank, TX - 10
e \$150,000-350,000	ROBERTS WEALTH MANAGEMENT LLC	1650 HIGHWAY 6	SUGAR LAND	TX	77478	523120	Corporation	Unanswered	Unanswered	Unanswered	6	4/11/2020	Allegiance Bank TX - 22
e \$150,000-350,000	SHARE FINANCIAL SERVICES INC	15770 Dallas Parkw	DALLAS	TX	75248	523120	Corporation	Unanswered	Unanswered	Unanswered	24	4/9/2020	Happy State Bank TX - 03
e \$150,000-350,000	THE OFFICES OF PATRICK J WEHRLY INC	3325 SPRING CYPRI	SPRING	TX	77388	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	8	4/13/2020	Allegiance Bank TX - 02
d \$350,000-1 millio	WILSON-DAVIS & CO., INC.	236 S Main St	SALT LAKE CITY	UT	84101	523120	Corporation	Unanswered	Unanswered	Unanswered	28	4/11/2020	KeyBank National A UT - 02
e \$150,000-350,000	ALPINE SECURITIES CORPORATION	39 EXCHANGE PL	SALT LAKE CITY	UT	84111	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	10	4/8/2020	Lakeside Bank UT - 02
e \$150,000-350,000	DFFG INVESTMENTS, LLC	9017 South RIVERS	SANDY	UT	84070	523120	Limited Liability Co	Asian	Male Owned	Non-Veteran	20	4/4/2020	Cache Valley Bank UT - 03
d \$350,000-1 millio	JTB INTERNATIONAL INC	1939 ROLAND CLAF	RESTON	VA	20191	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	25	5/7/2020	Sandy Spring Bank VA - 11
e \$150,000-350,000	BOGART WEALTH, LLC	2010 CORPORATE	FMC LEAN	VA	22102	523120	Limited Liability Co	White	Male Owned	Non-Veteran	14	4/16/2020	United Bank VA - 08
e \$150,000-350,000	BROWN HARRIS INC.	309 North Main Str	CULPEPER	VA	22701	523120	Corporation	Unanswered	Unanswered	Unanswered	7	4/27/2020	Blue Ridge Bank, N VA - 05
e \$150,000-350,000	BUTLER CAPITAL INVESTMENTS LLC	1815 Creekview Lar	CHARLOTTESVILLE	VA	22911	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	9	4/27/2020	Blue Ridge Bank, N VA - 05
e \$150,000-350,000	CLARK & ASSOCIATES, INC. FINANCIAL SOLUTION	1984 Isaac Newton	RESTON	VA	20190	523120	Corporation	Unanswered	Unanswered	Unanswered	12	4/10/2020	First Home Bank VA - 10
e \$150,000-350,000	EQUITY CONCEPTS LLC	2550 Gaskins Road	HENRICO	VA	23238	523120	Limited Liability Co	Unanswered	Male Owned	Non-Veteran	0	4/7/2020	Essex Bank VA - 07
e \$150,000-350,000	FREEDOM STREET PARTNERS LLC	516 Innovation Dri	CHESAPEAKE	VA	23320	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/16/2020	Towne Bank VA - 02
e \$150,000-350,000	MAINSAIL WEALTH ADVISORS, LLC	6641 MAIN ST	GLOUCESTER	VA	23061	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	0	4/10/2020	Chesapeake Bank VA - 01
d \$350,000-1 millio	EK RILEY INVESTMENTS LLC	1420 5TH AVE STE	SEATTLE	WA	98101	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	10	5/1/2020	JPMorgan Chase Ba WA - 07
e \$150,000-350,000	ALLIANT SECURITIES INC TURNER NORD KIENBAL	695 North Legacy	RIBERTY LAKE	WA	99019	523120	Corporation	Unanswered	Unanswered	Unanswered	10	4/28/2020	U.S. Bank, National WA - 05
e \$150,000-350,000	NORTHERN CAPITAL MANAGEMENT INC	2700 S SOUTHEAST	SPOKANE	WA	99223	523120	Corporation	Unanswered	Unanswered	Unanswered	0	4/7/2020	Washington Trust E WA - 05
e \$150,000-350,000	UCANTRADE, INC.	1465 Slater Road	FERNDALE	WA	98248	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	27	4/11/2020	KeyBank National A WA - 01
d \$350,000-1 millio	HARBOUR MANAGEMENT, LLC	545 D'onofrio Dr,	MADISON	WI	53719	523120	Subchapter S Corp	Unanswered	Unanswered	Unanswered	24	4/9/2020	First Business Bank WI - 02
e \$150,000-350,000	FINANCIAL SOLUTIONS, LLC	1900 AIRPORT RD	SWAUKESHA	WI	53188	523120	Subchapter S Corp	White	Male Owned	Non-Veteran	13	4/27/2020	Sunset Bank & Savi WI - 01
e \$150,000-350,000	HECK CAPITAL ADVISORS	15 E. Anderson St.	RHINELANDER	WI	54501	523120	Limited Liability Co	White	Unanswered	Unanswered	20	4/7/2020	Ripco CU WI - 07
e \$150,000-350,000	HERMENING FINANCIAL GROUP, LLC	200 WASHINGTON	WAUSAU	WI	54403	523120	Limited Liability Co	Unanswered	Unanswered	Unanswered	11	4/11/2020	IncredibleBank WI - 07
e \$150,000-350,000	PACKERLAND BROKERAGE SERVICES, INC.	432 SECURITY BLVE	GREEN BAY	WI	54313	523120	Corporation	Unanswered	Unanswered	Unanswered	23	4/5/2020	Nicolet National Ba WI - 08